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# Online Appearance-Based Place Recognition and Mapping Algorithms for Autonomous Robot Navigation

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# Αλγόριθμοι Άμεσης Οπτικής Αναγνώρισης Περιοχών και Χαρτογράφησης για Αυτόνομη Πλοήγηση Ρομπότ

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Η έγκριση διδακτορικής διατριβής από το Τμήμα Μηχανικών Παραγωγής και Διοίκησης της Πολυτεχνικής Σχολής του Δημοκρίτειου Πανεπιστημίου Θράκης, δεν υποδηλώνει την αποδοχή οποιασδήποτε γνώμης του συγγραφέα (Ν. 5343/1932, άρθρο 202, παράγραφος 2). "True victory is victory over oneself" -Morihei Ueshiba, founder of Aikido Dedicated to my beloved, Oscar 11.9.2009 - 8.4.2015

## **※**

Αφιερωμένο στον αγαπημένο μου, Όσκαρ. 11.9.2009 - 8.4.2015

## Summary and Contribution

With a growing demand for autonomous robots in a wide range of applications, such as search and rescue, space, and underwater explorations, accurate navigation is more than necessary for an intelligent system to accomplish its assigned tasks. Simultaneous localization and mapping (SLAM), i.e., a robot's ability to incrementally construct a map of its working environment and subsequently estimate its position in it, has evolved over the last three decades as the core of autonomous navigation, especially when global positioning information is missing. As the importance of efficient and robust pose estimation is vital for accurate navigation, nowadays, robotics researchers have put a tremendous effort in developing methods to map the world through several exteroceptive sensors; the reason is the usefulness of an appropriate representation of the surroundings for the robot to be able to perform its tasks. However, given the sensors' noise and the absence of position measurements, even the most accurate state estimators are prone to drift inevitably accumulated over time. Hence, SLAM needs to identify when the robot revisits a previously traversed location and then to recall it. Thus, the system's drift error and uncertainty regarding the estimated position and orientation (pose) can be bounded and rectified, allowing consistent map generation. This process is widely-known as loop-closure detection and is achieved via a place recognition pipeline responsible for associating the incoming sensory data (query) with the map (database). Due to the above, the popularity of loop-closure detection in the last 30 years is not surprising if we consider the notable SLAM evolution.

Several techniques were exploited to map the robot's environment in the early years, such as range and bearing sensors, viz., lasers, radars, and sonars. However, due to the increased availability of computational power along the late years and the findings of how animals navigate using vision, mapping from optical sensors was pushed ahead. Beyond the sensor's low cost and its applicability to various mobile platforms, especially the ones with limited computational capabilities, e.g., unmanned aerial vehicles (UAVs), the main reason for cameras' utilization is related to the significant advantage of the rich textural information embedded in images, which effectively capture the environment's appearance with high distinctiveness. Not surprisingly, modern robotic navigation systems are based on appearance-based place recognition algorithms to detect loop-closures.

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Nevertheless, nowadays, loop-closure detection algorithms have to provide robust navigation for an extended period. Hence, the computational efficiency and the storage requirements are vital factors for recognizing previously visited areas during long-term and large-scale SLAM operations in uncontrolled environments. The motivation behind this Ph.D. dissertation has been the prospect that in many contemporary applications, where computational resources are restricted, efficient methods are needed that can provide high performance under run-time and memory constraints. Thus, this thesis introduces several appearance-based place recognition pipelines based on different mapping techniques for addressing loop-closure detection in mobile platforms with limited computational resources. This dissertation at hand is articulated into six chapters.

Despite its unique traits, loop-closure detection is a task inextricably related to place recognition. Therefore, the dissertation would not be complete unless it briefly examines the general concept of visual place recognition in the robotics community (see Chapter 1). Similarly, a brief introduction to SLAM is provided, while the differences between the commonly used terms of localization, re-localization, and loop-closure detection are also distinguished and discussed. Finally, an overview of the currently standard problem formulation for appearance-based loop-closure detection follows, and each of its modules is reviewed in detail. This way, we provide the reader with the means to understand the contributions of our work better.

Following the previous chapter, the experimental protocol to evaluate a place recognition pipeline is presented in Chapter 2. Next, the metrics adopted for measuring the systems' performance, the collection of available datasets used throughout the experiments of this dissertation, including hand-held, car-mounted, aerial, and ground trajectories, as well as the state-of-the-art solutions taken as reference for comparisons are presented.

The third chapter introduces a novel approach for loop-closure detection based on a hierarchical decomposition of the environment. We define the generated submaps of the trajectory as "places" through an online and dynamic segmentation of the incoming image stream. Subsequently, when searching for candidate loops, votes are distributed to the corresponding places via an image-to-place features similarity spotting. A previously visited area is identified using a probabilistic score, while via an image-to-image pairing, the proper location match is chosen. This way, we achieve an increased system's performance, preserving at the same time the run-time low.

Following the dynamic segmentation presented in the previous chapter, in Chapter 4, we adopt a low-complexity technique for defining places in the robot's trajectory. These are generated online through a point tracking repeatability check employed on the perceived visual sensory information. When querying the database, place-to-place comparisons indicate the proper candidate sub-map and, through an image-to-image search, the appropriate location is chosen. The proposed technique reaches low timings records while keeping high performance.

Finally, the method presented in Chapter 5 exploits the advantages presented in pre-

vious methods and proposes an efficient mapping pipeline, which encodes the traversed trajectory by a low amount of unique features generated online. With this mapping technique referred to as "Bag of Tracked Words", clusters features tracked during navigation. When searching for loop-closures, probabilistic scores are assigned to every visited location, and, subsequently, the produced scores are processed through a temporal filter to estimate the belief state about the robot's location on the map. The database's growth rate is restricted via a management technique permitting a system with low computational complexity.

The dissertation concludes in Chapter 6. This chapter discusses and examines the current open challenges in appearance-based place recognition, e.g., map scalability for long-term operations, recognition under environmental changes, and computational complexity, which will direct our plans for extending the research described here.

Our main contributions include three different mapping techniques for addressing the task of place recognition for SLAM. The primary characteristic of each method is the absence of any previous training step preserving their online nature, i.e., throughout navigation. The first one is based on a hierarchical mapping technique with incremental clustering of the extracted visual features for achieving low-complexity with robust results. The high performance is due to a probabilistic score assigned to the database entries during query time, while the trajectory's hierarchical representation yields to the reduced timings. The second pipeline follows the previous one regarding the dynamic image-sequence partitioning of the incoming camera stream. However, sub-maps are defined with a technique that permits the system to perform with very low timings. As a result, the proposed system can detect loop-closure events through sub-maps comparisons demonstrating sub-linear database search using almost two orders of magnitude fewer operations than other incremental-based approaches. The last pipeline exploits the positive aspects of each of the methods above to construct a robust system with high performance and low-complexity for datasets up to 13km. As the one proposed, single-based mapping techniques tend to be computationally costly, mainly due to the large number of visual elements extracted from each camera measurement. However, our framework adopts an online clustering technique for map representation, which reduces the database size intensively, producing the smallest, in terms of memory consumption and size, database up to date. Last, our method employs a Bayes filter over the robust probabilistic scores proposed in the previous pipeline, permitting higher performances under real-time constraints. As a final note, open-source implementations are made publicly available, intending to serve as a benchmark for the research community.

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Καθώς η ζήτηση αυτόνομων ρομποτιχών συστημάτων σε ένα ευρύ φάσμα εφαρμογών αυξάνεται, όπως για παράδειγμα οι αποστολές αναζήτησης και διάσωσης, οι διαστημικές και οι υποβρύχιες εξερευνήσεις, η ακρίβεια που χρειάζεται για την πλοήγηση τους είναι απαραίτητη. Η εφαρμογή της ταυτόχρονης χαρτογράφησης και εντοπισμού της θέσης (Simultaneous Localization and Mapping-SLAM), δηλαδή η ικανότητα ενός ρομπότ να δημιουργεί σταδιακά έναν χάρτη του περιβάλλοντος εργασίας του και στη συνέχεια να εκτιμά τη θέση του σε αυτόν, έχει εξελιχθεί τις τελευταίες τρεις δεκαετίες σε πυρήνα της αυτόνομης πλοήγησής του, ιδιαίτερα όταν δεν υπάρχει η πληροφορία της θέσης από το παγκόσμιο σύστημα στιγματοθέτησης. Καθώς δίνεται μεγάλη σημασία στην αποτελεσματική και εύρωστη εκτίμηση θέσης για πλοήγηση ακριβείας, οι ερευνητές στο πεδίο της ρομποτικής έχουν καταβάλει τεράστια προσπάθεια για την ανάπτυξη μεθόδων χαρτογράφησης με τη χρήση διαφορετικών εξωτερικών αισθητήρων. Ο λόγος που συμβαίνει αυτό είναι η σπουδαιότητα μιας κατάλληλης αναπαράστασης του περιβάλλοντος ώστε να μπορεί το ρομπότ να εκτελεί τις εργασίες του. Ωστόσο, λόγω του θορύβου στο σήμα των αισθητήρων και της απουσίας μετρήσεων στιγματοθέτησης, ακόμη και τα πιο ακριβή συστήματα εκτίμησης της κατάστασης του ρομπότ είναι επιρρεπή στην αναπόφευχτη συσσώρευση σφαλμάτων χατά την μετατόπιση.  $\Omega_{\zeta}$  εχ τούτου είναι σημαντικό για το σύστημα ταυτόχρονης χαρτογράφησης και εντοπισμού θέσης να βρει πότε το ρομπότ επισχέπτεται μια περιοχή που έχει προηγουμένως διασχίσει και στη συνέχεια να την ανακαλέσει. Με αυτό τον τρόπο, το σφάλμα που δημιουργείται, καθώς και η αβεβαιότητα σχετικά με την εκτιμώμενη θέση και τον προσανατολισμό του, μπορούν να περιοριστούν και να διορθωθούν, επιτρέποντας με αυτόν τον τρόπο την δημιουργία χαρτών με αχρίβεια. Αυτή η διαδιχασία είναι γνωστή ως εντοπισμός κλεισίματος βρόχου (loop-closure detection) και επιτυγχάνεται μέσω τεχνιχών αναγνώρισης περιοχών, οι οποίες είναι υπεύθυνες για τη σύνδεση των νεοεισερχόμενων δεδομένων από τα αισθητήρια (διαδικασία ερώτησης) με τον ήδη κατασκευασμένο χάρτη (βάση δεδομένων). Για τους παραπάνω λόγους, δεν αποτελεί γεγονός έκπληξης η δημοτικότητα του προβλήματος για τον εντοπισμό κλεισίματος βρόχων τα τελευταία 30 χρόνια, εάν ληφθεί υπόψη η αξιοσημείωτη εξέλιξη των συστημάτων ταυτόχρονης χαρτογράφησης και εκτίμησης

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## θέσης.

Τα πρώτα χρόνια, αξιοποιήθηκαν διάφορες τεχνικές για να χαρτογραφήσουν το περιβάλλον στο οποίο λειτουργεί το ρομπότ, κυρίως μέσω αισθητήρων εμβέλειας. Ωστόσο, λόγω της αύξησης της υπολογιστικής ισχύος τα τελευταία χρόνια, όπως επίσης και οι παρατηρήσεις σχετικά με το πώς πλοηγούνται τα ζώα χρησιμοποιώντας την όραση τους, ώθησαν προς τη χρήση οπτικών αισθητήρων για τη χαρτογράφηση του χώρου. Πέρα από το χαμηλό τους κόστος και την εφαρμοστικότητα τους σε διάφορες φορητές πλατφόρμες, ειδικά σε αυτές με περιορισμένες υπολογιστικές δυνατότητες, όπως τα μη επανδρωμένα εναέρια οχήματα, ο κύριος λόγος για τη χρήση των καμερών σχετίζεται με το σημαντικό πλεονέκτημα που προσφέρουν οι πλούσιες πληροφορίες που ενσωματώνονται στις εικόνες, οι οποίες αποτυπώνουν αποτελεσματικά και με υψηλή διακριτικότητα την εμφάνιση του περιβάλλοντος. Γι' αυτό τον λόγο, τα πρόσφατα συστήματα για της εμφάνισης του χώρου (*appearance-based place recognition*) για τον εντοπισμό περιπτώσεων κλεισίματος βρόχου.

Ωστόσο, στις μέρες μας, οι αλγόριθμοι εντοπισμού κλεισίματος βρόχου πρέπει να παρέχουν εύρωστη πλοήγηση για μεγάλο χρονικό διάστημα. Ως εκ τούτου, η υπολογιστική αποδοτικότητα και οι απαιτήσεις χώρου για τα δεδομένα αποτελούν σημαντικούς παράγοντες κατά τη διάρκεια μακροπρόθεσμων και μεγάλης κλίμακας αποστολών σε ανεξέλεγκτα περιβάλλοντα με σκοπό την αναγνώριση επισκεπτόμενων περιοχών. Το κίνητρο για το παρόν διδακτορικό ήταν το γεγονός ότι σε πολλές σύγχρονες εφαρμογές, όπου οι υπολογιστικοί πόροι είναι περιορισμένοι, απαιτούνται αποτελεσματικές μέθοδοι που μπορούν να παρέχουν υψηλή απόδοση σε περιορισμένο χρόνο εκτέλεσης και ελάχιστη χρήση μνήμης. Έτσι, αυτή η διατριβή εισάγει μερικές τεχνικές αναγνώρισης περιοχής, οι οποίες στηρίζονται στην εμφάνιση, για διαφορετικές μεθόδους χαρτογράφησης, με σκοπό να αντιμετωπίσει το πρόβλημα του εντοπισμού κλεισίματος βρόχου σε κινητές πλατφόρμες με περιορισμένη υπολογιστική ισχύ. Έξι κεφάλαια συνθέτουν την παρούσα διατριβή.

Αρχικά, παρά τα μοναδικά χαρακτηριστικά που παρουσιάζονται στο πρόβλημα του εντοπισμού κλεισίματος βρόχου, το συγκεκριμένο αποτελεί μια διαδικασία που συνδέεται άρρηκτα με την αναγνώριση περιοχών. Επομένως, η διατριβή δεν θα ήταν πλήρης εάν δεν παρουσιάσει τη γενική έννοια της οπτικής αναγνώρισης περιοχών στην κοινότητα της ρομποτικής (δες Κεφάλαιο 1). Παρομοίως, παρέχεται μία σύντομη εισαγωγή στο σύστημα ταυτόχρονης χαρτογράφησης και εντοπισμού θέσης, ενώ διακρίνονται και συζητιούνται οι διαφορές μεταξύ των κοινώς χρησιμοποιούμενων όρων εκτίμηση θέσης, επαναπροσδιορισμός θέσης και εντοπισμός κλεισίματος βρόχου. Τέλος, ακολουθεί μια επισκόπηση σχετικά με την τυπική δομή που έχει ένα σύστημα που σχετίζεται με το πρόβλημα του εντοπισμού κλεισίματος βρόχου που βασίζεται στην εμφάνιση. Κάθε ένα από τα επιμέρους τμήματα ενός τέτοιου συστήματος εξετάζεται λεπτομερώς. Με αυτόν τον τρόπο, επιτρέπουμε στον αναγνώστη να κατανοήσει καλύτερα τις συνεισφορές της παρούσας δουλειάς.

Σε συνέχεια του προηγούμενου κεφαλαίου, στο Κεφάλαιο 2 παρουσιάζεται το πειραματικό πρωτόκολλο που απαιτείται για την αξιολόγηση μίας μεθόδου αναγνώρισης περιοχής. Έπειτα δίνονται οι μετρικές που υιοθετήθηκαν για τη μέτρηση της απόδοσης των μεθόδων, η λίστα των διαθέσιμων συνόλων δεδομένων που χρησιμοποιήθηκαν κατά τη διάρκεια των πειραμάτων αυτής της διατριβής, συμπεριλαμβανομένων διαδρομών που λήφθηκαν διά χειρός, μέσω αυτοκινήτου, καθώς και εναέριες και επίγειες διαδρομές. Επιπλέον, γίνεται εκτενή αναφορά στις μεθόδους που χρησιμοποιήθηκαν για την σύγκριση των προτεινόμενων αλγορίθμων.

Το τρίτο κεφάλαιο εισάγει μια νέα προσέγγιση για τον εντοπισμό κλεισίματος βρόχου, η οποία βασίζεται σε μια ιεραρχική αποσύνθεση του περιβάλλοντος λειτουργίας. Μέσω μίας άμεσης και δυναμικής τμηματοποίησης της εισερχόμενης ροής εικόνων, ορίζουμε τους νέους υπο-χάρτες που δημιουργούνται στην τροχιά του ρομπότ ως «μέρος» και στη συνέχεια όταν αναζητούμε υποψήφιες περιπτώσεις κλεισίματος βρόχου, κατανέμουμε ψήφους στα αντίστοιχα μέρη μέσω της ομοιότητας των χαρακτηριστικών της εικόνας-προς-το-μέρος. Μια περιοχή που το ρομπότ έχει ήδη επισκεφθεί κατά την πλοήγησή του αναγνωρίζεται μέσω ενός πιθανοκρατικού αποτελέσματος, ενώ μέσω μια εκτενούς αναζήτησης εικόνας-προς-εικόνα επιτυγχάνεται η αντιστοίχιση τοποθεσίας. Με αυτόν τον τρόπο καταφέρνουμε να έχουμε αυξημένη απόδοση διατηρώντας, ταυτόχρονα, χαμηλό χρόνο εκτέλεσης.

Ακολουθώντας τη δυναμική τμηματοποίηση που παρουσιάστηκε στο προηγούμενο κεφάλαιο, στο Κεφάλαιο 4 υιοθετούμε μία τεχνική με χαμηλή πολυπλοκότητα για τον προσδιορισμό μερών στην τροχιά που διανύει το ρομπότ. Αυτά τα μέρη δημιουργούνται άμεσα, δηλαδή κατά την διαδικασία της πλοήγησης, μέσω ενός ελέγχου της επαναληψημότητας των σημείων που εξάγονται από τα δεδομένα της κάμερας. Όταν ψάχνουμε την βάση δεδομένων για ομοιότητες, οι συγκρίσεις μεταξύ των μερών υποδεικινύουν τον κατάλληλο υποψήφιο υπο-χάρτη. Μέσω μίας τεχνικής αναζήτησης εικόνας-προς-εικόνα, τελικά επιλέγεται η κατάλληλη τοποθεσία. Η προτεινόμενη τεχνική επιδεικινύει πολύ μικρούς χρόνους εκτέλεσης ενώ διατηρεί αρκετά υψηλή απόδοση.

Τέλος, η μέθοδος που παρουσιάζεται στο Κεφάλαιο 5 εκμεταλλεύεται τα πλεονεκτήματα που παρουσιάστηκαν στα προηγούμενα κεφάλαια και προτείνει μία αποτελεσματική τεχνική χαρτογράφησης, η οποία κωδικοποιεί την πορεία του ρομπότ με μια μικρή ποσότητα μοναδικών χαρακτηριστικών που δημιουργούνται κατά την διάρκεια της πλοήγησης. Αυτή η τεχνική χαρτογράφησης, η οποία αναφέρεται ως «σάκος με ιχνηλατημένες λέξεις» (bag of tracked words), ομαδοποιεί τα χαρακτηριστικά που παρακολουθούνται κατά την πλοήγηση. Κατά την ανα-

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ζήτηση για ενδεχόμενο εντοπισμό κλεισίματος βρόχου, σε κάθε τοποθεσία που έχει επισκεφθεί το αυτόνομο σύστημα και στη συνέχεια αποδίδονται πιθανότητες, οι οποίες στη συνέχεια επεξεργάζονται μέσω ενός χρονικού φίλτρου για την τελική εκτίμηση της πεποίθησης που σχετίζεται με την τοποθεσία του ρομπότ στον χάρτη. Για τον περιορισμό του ρυθμού ανάπτυξης της βάσης δεδομένων εφαρμόζεται μια τεχνική διαχείρισης του χάρτη, επιτρέποντας χαμηλή υπολογιστική πολυπλοκότητα.

Τα τελικά συμπεράσματα της διατριβής παρουσιάζονται στο Κεφάλαιο 6. Σε αυτό το κεφάλαιο γίνεται συζήτηση για τις τρέχουσες προκλήσεις στο πρόβλημα της αναγνώριση περιοχών με βάση την εμφάνιση, όπως για παράδειγμα η επέκταση του χάρτη στις πολύ μακροπρόθεσμες αποστολές, η αναγνώριση περιοχών κάτω από περιβαλλοντικές αλλαγές και η υπολογιστική πολυπλοκότητα. Η εκτενής εξέταση των παραπάνω είναι αυτή που θα κατευθύνει τα σχέδιά μας για την επέκταση της έρευνας που περιγράφεται εδώ.

Οι κύριες συνεισφορές της παρούσας διατριβής περιλαμβάνουν τρεις διαφορετικές τεχνικές χαρτογράφησης για την αντιμετώπιση του προβλήματος εντοπισμού κλεισίματος βρόχου για εφαρμογές ταυτόχρονης χαρτογράφησης και εντοπισμού θέσης. Το κύριο χαρακτηριστικό που έχει κάθε μέθοδος είναι η απουσία ενός προηγούμενου βήματος εκπαίδευσης, επιτρέποντας τα να διατηρούν την άμεση φύση τους, καθιστώντας τα ανεξάρτητα καθ' όλη τη διάρκεια της πλοήγησης. Η πρώτη τεχνική βασίζεται σε μια ιεραρχική δομή χαρτογράφησης του περιβάλλοντος μαζί με σταδιακή ομαδοποίηση των εξαγόμενων οπτικών χαρακτηριστικών. Με αυτό τον τρόπο επιτυγχάνεται χαμηλή πολυπλοκότητα και ταυτόχρονα υψηλή απόδοση, η οποία οφείλεται σε ένα πιθανοχρατικό αποτέλεσμα που αποδίδεται στις προηγούμενες τοποθεσίες στην βάση δεδομένων κατά τη διάρχεια του ερωτήματος. Η δεύτερη τεχνική μας ακολουθεί την προηγούμενη σχετικά με τον δυναμικό διαχωρισμό των μερών του χάρτη. Ωστόσο, τα μέρη πλέον ορίζονται με μια μέθοδο που επιτρέπει στο σύστημα να λειτουργεί με πολύ χαμηλούς χρόνους. Αυτό έχει ως αποτέλεσμα το προτεινόμενο σύστημα να μπορεί να εντοπίσει περιπτώσεις κλεισίματος βρόχου μέσω συγκρίσεων υπο-χαρτών αποφεύγοντας την γραμμική αναζήτηση της βάσης δεδομένων και χρησιμοποιώντας σχεδόν δύο τάξεις μεγέθους λιγότερες πράξεις από άλλες προσεγγίσεις. Η τελευταία τεχνική μας εκμεταλλεύεται τις θετικές πτυχές καθεμιάς από τις παραπάνω μεθόδους ώστε να κατασκευάσει ένα εύρωστο σύστημα με υψηλή απόδοση και χαμηλή πολυπλοκότητα για διαδρομές που φτάνουν τα 13 χλμ. Όπως και η προτεινόμενη, οι μέθοδοι χαρτογράφησης που βασίζονται σε αναπαράσταση του χάρτη ξεχωριστά για κάθε εισερχόμενη εικόνα τείνουν να είναι υπολογιστικά δαπανηρές, κυρίως λόγω του μεγάλου όγκου οπτικών χαρακτηριστικών που εξάγονται από κάθε εικόνα. Ωστόσο, το σύστημα μας υιοθετεί μια άμεση τεχνική ομαδοποίησης των χαρακτηριστικών αυτών για την αναπαράσταση του χάρτη, η οποία μειώνει κατά πολύ το μέγεθος της βάσης δεδομένων, με αποτέλεσμα την

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δημιουργία του μικρότερου χάρτη, όσον αφορά την κατανάλωση και το μέγεθος της χρησιμοποιούμενης μνήμης, με βάση τα σημερινά δεδομένα. Τελικά, η τεχνική μας χρησιμοποιεί ένα φίλτρο Bayes μετά τα πιθανοκρατικά αποτελέσματα, που προτάθηκαν και χρησιμοποιήθηκαν στην προηγούμενη μέθοδο μας, επιτρέποντας ακόμα πιο υψηλές επιδόσεις πάντα με γνώμονα να ικανοποιούν τους περιορισμούς πολυπλοκότητας για εφαρμογές πραγματικού χρόνου. Ως τελευταία συνεισφορά, οι παραπάνω εφαρμογές είναι ανοιχτού κώδικα και διατίθενται στο κοινό με σκοπό να χρησιμεύσουν ως σημείο αναφοράς για την ερευνητική κοινότητα.

## Preface

This doctoral dissertation concentrates on the research I composed throughout the past five years as a Ph.D. student at the Democritus University of Thrace (DUTH). My research was conducted at the *laboratory of robotics and automation* (LRA), wherein I became a proud team member. During this "journey," there have been so many people who helped me, and I need to thank them. I have this feeling not because I have to but because their contribution was undeniably crucial to the overall success –if any– of this work. However, as I know that it is not possible to name each one of them, I will mention everyone who played a vital role during this journey.

This adventure began on September 16, 2015. "Hello Professor Antonios Gasteratos, my name is Konstantinos Tsintotas. The reason behind this message is because I want to be part of your laboratory...". I still remember the day when I wrote my first e-mail to him. The rest is history. Five years later, I am honored to be next to him, following his guidance and his advice in every problem I confront. I thank him for being so open-hearted providing me the change to follow the difficult path of a Ph.D. student. I thank him for being there in every step of the way and making it all easy with his easygoing style. I have to admit that the past years have been the most challenging and fascinating of my life so far. He is my advisor. He will always be my dearest friend.

However, for the beginning of this journey, I owe a big "thank you" to my Master's supervisor, prof. George F. Fragulis, who was the one that prompted me to continue my studies following the course of a doctorate. Not only he introduced me to the field of robotics through my Master's thesis, but also he was the one who believed in me from the beginning, giving me the courage I needed for my new adventure.

During my first months as a member of the LRA, I was lucky enough to meet and learn from one senior Ph.D. candidate of our group, Dr. Loukas Bampis; now an assistant professor. He grabbed me by my newbie's hand and passed me through all those tiring, but most important, first steps for learning the basics of our science. Moreover, we found something in common to discuss that made us to come close to each other; that was place recognition. Via our conversations, he offered me his advice and insight regarding the whole process which was lying ahead of me. He welcomed me the first time, and he is the one who stood by me as a senior researcher, and as a friend, for all this period. Without his contribution, I wouldn't be the researcher I am today.

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One year later, I had the opportunity to welcome the first woman of the group, Dr. Vasiliki Balaska. She is an outstanding researcher with whom I had the chance to work side by side for about 2 years. Throughout this period, I was impressed by her determination about her work. I cannot even begin to describe the dedication of this woman. I thank her for the beautiful moments we had together, both scientifically and personally.

Hats off to Dr. Ioannis Kansizoglou. He is the special one. In 2018, a bright youth became part of the LRA group. Since then, the man with the best mathematical mind I have ever met and the most charismatic colleague I ever had has become my best friend. Maybe it was because we were newcomers to the city of Xanthi that we found something in common to relate, making the bond of our relationship very strong. I am glad that I shared the most critical period of my studies with him, and I sincerely thank him for our long conversations that I will miss someday. This journey would not be as incredible as it was without Giannis and I am thrilled that we are now finishing this adventure together.

Furthermore, during the last two years, I had the opportunity to meet four new Ph.D. students in the LRA. The first one was Santavas Nickolaos, a hard-working man. I want to thank him for the daily long talks and plans we done together. The next one, Anastasios Taitzoglou, is the man of deeds and not of words. Our collaboration was more than professional through my difficult times in the MPU-RX4 project, and I thank him for his contribution. Looking at the rest of our new members, Tsampikos Papapetros and Fotios Konstantinidis, I can only say that our lab could not be more fortunate for engrossing such great minds. Something tells me that the three of us will cooperate more and more shortly. Moreover, I also had quite some fun supervising undergrad students. Among them, I would like to thank Panagiotis Giannis for putting up and implementing my ideas.

Those last words I owe to the people who were not able to advise on my research (even though they would love to if they could) but were always there for me to generously offer their emotional support. Within this part of beautiful people that I met at the LRA is Eleftherios Lygouras. The man with whom I shared many hours smoking and thinking about the future. He remains close to me, and still, we plan our next move. However, a special *thank you* deserves my favorite roommate, George Sapidis, who was there for me, providing day-to-day support since he moved to Xanthi. *My roommate is better than yours* is the motto that will always accompany us. Evangelos Misirlis, a junior member of the lab back in 2016, an evolving manager nowadays, was the man who help me adapt myself to the city that would host me for the next five years. I thank him from the bottom of my heart for his effort. Finally, of course, I could not exclude from this equation the wonderful colleagues Anastasia Saridou and Despoina Ioakeimidou, who were the ones I shared the two periods of COVIV-19 quarantine. I was fortunate to find those beautiful, sweet, and kind people who gave sense to my life within the pandemic. I also thank them for standing by me through the last days of my endeavor.

Needless to say, much of what I have achieved is due to my family. My father, Asterios, was the one who taught me to be patient and well-adjusted to achieve my goals, while my mother, Eleni, stood up to me during difficult periods. I will never forget my first ICRA in Brisbane, Australia in 2018. Without their support, I couldn't attend such a conference. For my little sister, Efthalia, I want to say that she is –and always will be– next to my side, and I am very proud of the kind of woman she is. To this end, for my wonderful big "sister", Ioulia Tagouti, who I love infinitely, I owe a huge *thank you* since she is my dearest friend providing me with courage during my first steps in this journey. Finally, I was lucky enough to have my beloved grandmother, Efthalia, throughout my studies. She was always there with a comforting word, brightening my life and encouraging me from the first day I began. Without her, I wouldn't be the person I am today.

Xanthi, July 2021

Konstantinos A. Tsintotas

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# The revisiting problem in simultaneous localization and mapping



**Figure 1.1.** *Diagram depicting a taxonomy of place recognition in different fields. The darker colored topics are the ones described in detail within this chapter.* 

Should we have been there before, we realize that viewing a single photograph is sufficient to understand where the picture was captured. This fact highlights the impact of appearance cues in localization tasks [1–3]. Historically, place recognition depicts a related task, studied intensively from the researchers in computer vision society within a broad spectrum of applications [4], including 3D reconstruction, map

## 2 1 The revisiting problem in simultaneous localization and mapping

fusion, semantic recognition, augmented reality, and structure-from-motion. However, visual place recognition in robotics is somehow different; images under the same scene category might derive from different places [5]. Since the knowledge of an environment is a prerequisite for complex robotics tasks, place recognition is essential for the vast majority of localization implementations or re-localization and loop-closure detection pipelines within simultaneous localization and mapping (SLAM). One primary goal is that the recognizer has to generalize as much as possible, in the sense that it should support the robust association of the same place against conditional and viewpoint variations, under run-time restrictions, storage consumption, pre-training requirements, and processing power. A map diagram of the topics discussed in this chapter is depicted in Fig. 1.1. Light grey boxes indicate the topics described in brief, while darker boxes are the ones presented in detail.

## **1.1** Foundation of loop-closure detection

Loop-closure detection, which has long been acknowledged as the primary rectification tool in any SLAM system, historically represents a relevant and challenging task for the robotic community. Originally being introduced as "the revisiting problem," it concerns the robot's ability to recognize whether the sensory data just captured matches with any already collected, that is, a previously visited area, aiming for SLAM to revise its position [6]. As the accumulated dead-reckoning errors in the map may persistently grow when global positioning information is not available, loop-closure detection is essential for autonomous navigation, mainly when operating in largely closed route scenarios. An important aspect is that loops inherently occur sparsely; therefore, a new observation must be added to the map if no match occurs. Since an erroneous loopclosure detection might turn out to be fatal for any SLAM framework, a reliable pipeline should detect a small number or preferably zero false-positives while still avoiding false-negatives. The former refers to situations where the robot erroneously asserts that a loop has been closed. The latter occurs when an event has been missed due to the system's misinterpretation. Hence, "closing the loop" is a decision making problem of paramount importance for consistent map generation of unknown environments.

In the early years, several kinds of methods were exploited to map a robot's environment, such as measuring bearings' revolutions and range finders; however, advances were limited by the computational resources and sensor capabilities available at the time. During the last two decades, researchers can access an enviable array of sensing devices, including massively produced multi-megapixel digital cameras and computers that are more potent in processing power and storage [7]. Images, which effectively capture the environment's appearance with high distinctiveness, are obtained through devices ranging from low-cost web cameras to high-end industrial ones. Not surprisingly, since modern robot navigation systems push towards effectiveness and efficiency, SLAM frameworks adopted such sensors and computational advances. Due to their reduced size and handiness, they can be easily attached to mobile platforms and allow the development of numerous localization and mapping pipelines with applications in different fields, such as autonomous cars [8–10], small aircrafts [11–13], and commercial devices [14].

Like any other computer vision task, visual loop-closure detection firstly extracts distinct features from images; the similarities are then calculated, and finally, confidence metrics are determined. However, vital differences exist among image classification, image retrieval, and visual loop-closure detection. More specifically, the first deals with categorizing a query image into a class from a finite number of available ones; image retrieval is contiguous to image classification and attempts to find the most relevant images in the database. On the contrary, visual loop-closure detection searches for images depicting the current robot's view while experiencing dynamic environmental and lighting conditions. Hence, a considerable interest in the community's effort has been directed towards robust image processing techniques since sensory data representations, though appropriate for classification tasks, may not perform effectively in visual loop-closure detection and vice versa.

Rather than working directly with image pixels, feature extraction techniques derive discriminative information from the recorded camera frames [15]. Hand-crafted descriptors, both global (based on the entire image) and local (based on a region-of-interest), were widely used as feature extractors. However, due to their invariant properties over viewpoint changes, local features were often selected for loop-closure detection pipelines [16]. Deep learning has revolutionized many research areas [17, 18], with convolutional neural networks (CNNs) being used for various classification tasks since they can inherently learn high-level visual features. As expected, the robotics community exploited their capabilities in loop-closure detection, especially in situations of extreme environmental changes [19]. Nevertheless, their extensive computational requirements limit their applicability in real-time applications and often induce the utilization of power-demanding general-purpose graphical processing units (GPGPUs) [20].

Through the extracted features, the system's traversed path is described by a database of visual representations. To gain confidence about its position in the map and decide whether a loop occurs, the robot needs to compute a similarity score between the query and any previously seen observation. Several techniques exist for comparing images, ranging from pixel-wise comparisons to more complex ones based on feature correspondences. Then, a similarity threshold determines if a location can be considered as loop-closure or should be declined, while additional steps, such as consistency checks based on multi-view geometry [22], can verify the matching pair. With an increasing demand for autonomous systems in a broad spectrum of applications, e.g., search and rescue [23–25], space [26, 27] and underwater explorations [28–31], the robots need to operate precisely for an extended period. As their complexity is at least linear to the traversed path, this limitation constitutes a crucial factor, severely affecting their

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**Figure 1.2.** A visual loop-closure detection evolution histogram. Starting from the first approach [21] in 2006, the growth of appearance-based place recognition systems for indicating previously visited areas in simultaneous localization and mapping applications shows that they remain a growing research field. The illustrated histogram is based on methods cited in the dissertation at hand.

capability to perform life-long missions.

In recent years, loop-closure detection algorithms have matured enough to support continually enlarging operational environments. Thus, the research focus has shifted from recognizing scenes without notable appearance changes towards more complicated and more realistic changing situations. In such cases, detections need to be successful despite the variations in the images' content, e.g., varying illumination (daytime against night) or seasonal conditions (winter against summer). Regardless of the advancements that have been achieved, the development of systems, which are condition invariant to such changes, remains an open research field. Finally, the growing interest of the robotics community is evidenced by the number of dedicated visual loop-closure detection pipelines, as depicted in Fig. 1.2.

As we enter its third era, we need to acknowledge the groundwork laid out so far and build upon the following achievements:

- 1. Robust performance: loop-closure detection can operate with a high recall rate in a broad set of environments, especially when a location is revisited by a vehicle in the same direction as previously.
- 2. High-level understanding: loop-closure detection can extend beyond basic handcrafted methods to get a high-level understanding and semantics of the viewing scene.
- 3. Data management: loop-closure detection can choose useful perceptual information and filters out irrelevant sensor data to address different tasks. Moreover, it

supports the creation of adaptive environment representations, whose complexity varies due to the task at hand.

## **1.2** Simultaneous localization and mapping

A robot's capability to build a map (deriving the model of an unknown environment) and localizing (estimating its position) within that map is essential for intelligent autonomous operations and, during the last three decades, one of the most famous research topics [32]. This is the classic SLAM problem, which has evolved as a primary paradigm for providing a solution for autonomous systems' navigation without depending on absolute positioning measurements, such as the ones given by global navigation satellite systems (GNSS). Nevertheless, given the noise in the sensors' signal and modeling inaccuracies, drift errors are presented even if the most accurate state estimators are used. Therefore, the robot's motion estimation degenerates as the explored environment size grows, specifically with the traversed cycles' size therein [33]. A SLAM architecture commonly comprises a front-end and a back-end component. The former handles the unprocessed sensor data modeling that is amenable for estimation, and the latter performs assumptions based on the incoming sensory inputs. Place recognition belongs to the front-end, as it is required to create constraints among locations once the robot returns to an earlier visited area [34]. In what follows, the role of loop-closure detection and re-localization in the localization and mapping engines of SLAM is analyzed, and its dependencies on the utilized sensing devices are examined.

## 1.2.1 Localization

Localization refers to the robot's task to establish its pose concerning a known frame of reference. More specifically, global localization examines the difficulty of recovering, in an existing map, the robot's position. At the same time, re-localization, also known as the "kidnapped-robot problem," concerns the position recovery based on a beforehand constructed map following an arbitrary "blind" displacement, viz., without awareness of the displacement, happening under heave occlusions or tracking failures. During both the above tasks, a correspondence connecting the robot's observation and a stored database representation is often known a priori. Contrariwise, loop-closure detection deals with the additional challenge of determining if the current working location belongs to a pre-visited area or not, which implies that the robot may never revisit the same mapped area, making the problem considerably more complicated [35]. However, each case is addressed by similar mechanisms using the most recent observation and a place recognizer. If a match is successful, it provides correspondence and, in many cases, a transformation matrix between the current and the database poses in the map.

## 1.2.2 Mapping



**Figure 1.3.** A representative example highlighting the differences between topological loopclosure detection and re-localization. The query node (shaded observation) searches the database for candidate matches and, subsequently, the most similar is chosen. Two components are joined into one (bottom) when the system re-localizes its pose due to a tracking failure, while an edge between the two nodes is created (top) in the case of loop-closure detection.

Trajectory mapping, which is of particular interest in autonomous vehicles, provides the robot with a modeled structure to effectively localize, navigate, and interact with its surroundings. Three major mapping models exist within SLAM, viz., metric, topological, and hybrid (metric-topological) maps. Metric maps provide geometrically accurate representations of the robot's surroundings, enabling centimeter-level accuracy for localization [36]. However, the appearance information is not considered, resulting in more frequent loop-closure detection failures in environments with repetitive geometrical structures. Moreover, this model is also computationally infeasible when large distances are dealt with [37]. Relying on a higher representation level than metric ones, topological maps mimic the humans and animals internal maps [38-40]. A coarse, graph-like description of the environment is generated, where each new observation is added as a node, corresponding to a specific location. Furthermore, edges are used to denote neighboring connections, that is, if a location is accessible from a different one. This flexible model, introduced by Kuipers and Byun [41], provides a more compact structure that scales better with the traversed route's size. Regardless of the robot's estimated metric position, which becomes progressively less accurate, these approaches attempt to detect loops only upon the similarity between sensory measurements [42-46]. In particular, two nodes become directly connected during loop-closure detection, whereas, through re-localization, two connected nodes are joined into one [47] (see Fig. 1.3). Finally, in metric-topological maps, the environment is represented via a graph-based model whose nodes are related to local metric maps, that is, a topological map is constructed, which is further split into a set of metric sub-maps [48–51].

## 1.2.3 Sensing

Aiming at overcoming GNSS limitations and detect loop-closures, different sensors have been used over the years, including bearing ones (e.g., wheel encoders), sonars, lasers, and cameras. Generally, range finders are chosen because of their capability to measure the distance of the robot's surroundings with high precision [52–57]. However, they are also bounded with some limitations. The sonar is fast and inexpensive but frequently very crude, whereas a laser sensor is active and accurate; however, it is slow. Within the last years, since 3D maps became more popular over traditional 2D, light detection and ranging (LiDAR) was established as the primary sensor for largescale 3D geometric reconstructions; yet, they are unsuitable for mass installation on mobile robots due to their weight, price, and power consumption. Furthermore, its measurements, i.e., scan readings, cannot be distinguished during loop-closure detection from locations with similar shapes but different appearances, such as corridors. Although successful mapping techniques based on range-finders are implemented [58-62], these types of sensors tend to be associated with, or replaced by, single cameras [63-70] or stereo camera rigs [71–77]. This is mainly due to the rich textural information embedded in images, the cameras' low cost, and their applicability to various mobile robots with limited computational powers, such as the unmanned aerial vehicles (UAVs). Finally, even if multi-sensor frameworks [78-81] can improve performance, especially in changing environmental conditions [82], such a setup requires expensive hardware and additional calibration than camera-only ones [83]. Nowadays, autonomous robot's trajectory mapping of up to 1000 km has been successfully achieved using only cameras as the sensory modality [84].

## **1.3** Loop-closure detection structure



**Figure 1.4.** Schematic structure depicting the essential parts of a loop-closure detection system. The image is processed to extract the corresponding visual representation, by either using trained data (visual bag of words) or not, and the robot's internal map is constructed simultaneously as the newly captured sensory measurement enters the system (visual data). When the query image arrives, its representation is compared against the database, i.e., the map, aiming to decide whether the robot navigates to an already visited area. Since loop-closures occur sparsely, the map is continually updated with new observation additions if no match occurs.

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A loop-closure detection system's generic block diagram is depicted in Fig. 1.4. Firstly, a system interpreting the environment's appearance has to detect pre-visited locations by employing only visual sensory information; thus, the perceived images have to be interpreted robustly, aiming for an informatively built map. Then, the system's internal map representation of the navigated path needs to be addressed. In many cases, such representations are driven by the robot's assigned mission. Aiming to decide whether or not the robot navigates a previously seen area, the decision extraction module performs data comparisons among the query and the database instances. Confidence is determined via their similarity scores. Lastly, as the system operates online, the map is updated accordingly throughout the autonomous mission's course. Each of the parts mentioned above is detailed in the following subsections.

## **1.3.1 Feature extraction**

Aiming at an informative map constructed solely from visual sensing, a suitable representation of the recorded data is needed. It is not surprising that most appearance-based pipelines use feature vectors extracted from images to describe the traversed route, given their discriminative capabilities. This characteristic extends to the loop-closure detection task and renders it essential to select an effective visual feature encoder. The traditional choice for such a mechanism refers to hand-crafted features that are manually designed to extract specific image characteristics. Recently, however, the outstanding achievements in several computer vision tasks through deep learning have turned the scientific focus towards learned features extracted from CNN activations.

## 1.3.1.1 Hand-crafted feature-based representation

It is shown via various experimental studies that humans can rapidly categorize a scene using only the crude global information or "gist" of a scene [87,88]. Similarly, methods implemented upon global feature extractors describe an image's appearance holistically utilizing a single vector. Their main advantages are the compact representation and computational efficiency, leading to lower storage consumption and faster indexing while querying the database. However, these techniques suffer from their inability to handle occlusions, incorporate geometric information, and retain invariance over image transformations, such as those originated from the camera's motion or illumination variations. On the other hand, detecting regions-of-interest in the image and subsequently describing them has shown robustness against transformations such as rotation, scale, and some lighting variations, and in turn, allow recognition even in cases of partial occlusions. Moreover, as the local features' geometry is incorporated, they are naturally intertwined with metric pose estimation algorithms. In the last decade, most of the advances achieved in visual loop-closure detection were based on such features. An overview of both methods is illustrated in Fig. 1.5.
#### 1.3 Loop-closure detection structure 9



**Figure 1.5.** Instances of hand-crafted feature descriptors, both (a) global (based on the entire image) and (b) local (based on regions-of-interest), extracted from the incoming image. (a) Whole image descriptors process each block in the image regardless of its context, e.g., the histogram-of-oriented-gradients [85]. (b) Local features, like the speeded-up robust features [86], are indicated in salient parts of the image and subsequently described. This way, a camera measurement is represented by the total of samples.

**Global features.** Oliva and Torralba proposed the most recognized global descriptor, widely known as Gist [89–91], inspiring several loop-closure detection pipelines [92–95]. A compact feature vector was generated through image gradients extracted from Gabor filters, ranging in spatial scales and frequencies. Following the Gist's success, Sunderhauf and Protzel achieved to detect loops through BRIEF-Gist [96], a global model of BRIEF (BRIEF stands for binary robust independent elementary features [97]) local descriptor to represent the entire image. Likewise, using the speeded-up robust features (SURF) method [86], a global descriptor called WI-SURF was proposed in [83]. In [98], the authors showed that when applying disparity information on the local difference binary (LDB) descriptor [99], failures due to perceptual aliasing could be reduced.

Besides, another series of techniques for describing images globally is based on histogram statistics. Different forms, e.g., color histograms [100–102], histogram-of-oriented-gradients (HOG) [103–106], or composed receptive field histograms [107], were adopted. HOG [85], which is the most frequently used technique, calculates every pixel's gradient and creates a histogram based on the results (see Fig. 1.5a), while pyramid-of-HOG (PHOG) describes an image via its local shape and its spatial layout [108]. A differentiable version of HOG was introduced in [109]. Customized descriptors, originated from downsampled patch-based representations [110], constitute another widely utilized description method. Finally, a global descriptor derived from principal component analysis (PCA) was employed in [111].

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**Local features.** Historically, the most acknowledged method for extracting local features is the scale-invariant feature transforms (SIFT) [112]. Based on the differenceof-gaussian (DoG) function, regions-of-interest are detected, while HOG computes their neighborhood's description. SURF (see Fig. 1.5b), inspired by SIFT, proposes a faster extraction version, while CenSurE [113], a lightweight equivalent of SURF, detects regions-of-interest using center-surrounded filters across multiple scales of each pixel's location. KAZE [114] demonstrates improved feature quality; however, it also induces higher computationally complexity. As the research community moved towards the binary description space, various feature extractors were developed offering similar SIFT and SURF performance; yet, exhibiting reduced complexity and memory requirements. Most of them extended BRIEF by incorporating descriptiveness and invariance to scale and rotation variations, such as LDB, ORB [115], BRISK [116], FREAK [117], and M-LDB [118]. Moreover, several local extractors used geometrical cues, such as line segments [119] or integrated lines and points, into a common descriptor [120], aiming to cope with region-of-interest detection in low-textured environments.



**Figure 1.6.** The visual bag of words model based on a previously trained visual vocabulary. Speeded-up robust features [86] are extracted from regions-of-interest in the incoming image, and subsequently, their descriptors are connected with the most similar visual word in the vocabulary. The output vector ( $1 \times N$  dimension, where N corresponds to the vocabulary's size) is a feature vector which represents the frequency of each visual word included in the camera data.

When directly describing images using local extractors, a massive quantity of features is created [121]. This dramatically affects the system's performance, mainly when real-valued features are used [122]. Different loop-closure detection pipelines partially reduce their quantity by selecting the most informative ones [123], or utilizing binary descriptors to avoid such cases [124, 125]. Moreover, the model of the bag of words (BoW) has been employed, which was initially developed for language processing and information retrieval tasks [126], allowing the images' description as an aggregation of quantized local features, that is, "visual words" [127]. More specifically, local features are classified according to a unique database, known as "visual vocabulary," generated through unsupervised density estimation techniques [128] over a set of training descriptors (either real-valued [129, 130] or binary ones [131–133]. An overview of this process is illustrated in Fig. 1.6. However, as several visual words may occur more frequently than others, the term-frequency inverse-document-frequency (TF-IDF) scheme [134] has been adopted to weight each database element. This way, each visual

word is associated with a product proportional to the number of occurrences in a given image (term frequency) and inversely proportional to its instances in the training set (inverse document frequency). Then, every image is represented via a vector of all its TF-IDF word values [135]. Fisher Kernels [136] refine the visual BoW model via fitting a Gaussian mixture over the database entries and the local features. At the same time, VLAD (VLAD stands for vector of locally aggregated descriptors [137]) concatenated the distance vectors between each local feature and its nearest visual words leading to improved performance results in the cost of increasing the memory footprint.

Although visual BoW is an efficient technique for detecting loop-closures, two weaknesses are presented. First, the visual vocabulary is typically generated a priori from training images and remains constant during navigation, which is practical; however, it does not adapt to the operational environment's attributes, limiting the overall loop-closure detection performance. Secondly, vector quantization discards the geometrical information, reducing the system's discriminative nature, primarily in perceptual aliasing cases. Consequently, several approaches address these limitations incrementally, i.e., along the navigation course, to generate the visual vocabulary [138]. This concept was introduced by Filliat [139], assuming an initial vocabulary that was gradually increased as new visual features were acquired. Similarly, Angeli *et al.* [140] merged visual words through a user-defined distance threshold. Nevertheless, most incremental visual vocabularies (either using real valued-based [141–145] or binary descriptors [146–150]) are based on the descriptors' concatenation from multiple frames to obtain a robust representation of each region-of-interest.

#### **1.3.1.2** Learned feature-based representation

CNN is a concept introduced by LeCun *et al.* in the late '80s [151, 152]. Its deployment efficiency is directly associated with the size and quality of the training process (for place recognition, large-scale annotated datasets from a multitude of environments, such as a comprehensive set of urban areas, are needed), which generally constitute practical limitations [153]. However, its recent successes in the computer vision field are owed to a combination of advances in GPU computational capabilities and large labeled datasets [154]. The remarkable achievements in image classification [154–157] and retrieval tasks [158–160] are owed to the capability of CNNs to learn visual features with increased levels of abstraction. Hence, it was reasonable to expect that the robotics community would experiment with learned feature vectors as the loop-closure detection's backbone is oblivious to the type of descriptions used.

A fundamental question is how a trained CNN generates visual representations. To answer this, we need to consider the three following paradigms that achieve feature extraction through different processes: 1) the whole image is directly fed into a network, and the activations from one of its last hidden layers are considered as the image's descriptor [161–163]; 2) specific image regions are introduced to the trained CNN, while

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**Figure 1.7.** A representative example of a fully-convolutional network that jointly extracts points of interest and their descriptors from an image.

the respective activations are aggregated to form the final representation [164–168]; 3) the CNN receives the whole image, and via the direct extraction of distinct patterns based on the convolutional layers' responses, the most prominent regions are detected [169–176]. An illustrative paradigm is shown in Fig. 1.7. Generally, representing images globally using techniques from the first category show reduced robustness when effects, such as partial occlusion or severe viewpoint variations, are presented. Image features emerging from the second category usually cope with viewpoint changes more effectively but are computational costly since they rely on external landmark detectors. Finally, features that emerge from the third category leverage both variations, i.e., viewpoint and appearance.

**Image-based features.** Chen *et al.* [177] were the first to exploit learned features extracted from all layers of a trained network [161] for object recognition to detect similar locations. However, subsequent studies showed that the utilization of intermediate representations with and without the CNN's fully connected layers could offer high performances [177, 178] and rich semantic information [179–183]. Other recent contributions provided helpful insights for better understanding the complex relationship between network layers and their features visualization [184, 185]. Since then, different architectures with slight modifications have been developed and used for loop-closure detection [186–190]. Inspired by the success of VLAD, NetVLAD [162] was proposed as a trainable and generalized layer that forms an image descriptor via combining features, while the spatial pyramid-enhanced VLAD (SPE-VLAD) layer improved VLAD features by exploiting the images' spatial pyramid structure [163]. In all of the above, powerful network models were utilized as the base architecture, viz., AlexNet [154], VGG [191], ResNet [192], Inception [193], DenseNet [194], and MobileNet [195].

**Pre-defined region-based features.** Compared to the holistic approaches mentioned above, another line of works relied on detecting image landmarks, e.g., semantic segmentation and object distribution, originated from image patches to describe the visual

data [196–200]. More specifically, in [164], learned local features extracted from image regions were aggregated in a VLAD fashion, while descriptors from semantic histograms and HOG were concatenated in a single vector in [197]. VLASE [198] relied on semantic edges for the image's description [201]. In particular, pixels which lay on a semantic edge were treated as entities of interest and described with a probability distribution (as given by CNN's last layer). The rest of the description pipeline was similar to VLAD. Similarly, Benbihi *et al.* presented the WASABI image descriptor for place recognition across seasons built from the image's semantic edges' wavelet transforms [200]. It represented the image content through its semantic edges' geometry, exploiting their invariance concerning illumination, weather, and seasons. Finally, a graph-based image representation was proposed in [199], which leveraged both the scene's geometry and semantics.

**Extracted region-based features.** The idea of detecting salient regions from late convolutional layers instead of using a fixed grid and then describing these regions directly as features have achieved impressive results [202–205]. Regions of maximum activated convolutions (R-MAC) used maxpooling on cropped areas of the convolutional layers' feature maps to detect regions-of-interest [169]. Neubert and Protzel presented a multiscale super-pixel grid (SP-Grid) for extracting features from multiscale patches [171]. Deep local features (DELF) combined traditional local feature extraction with deep learning [173]. Regions-of-interest were selected based on an attention mechanism, while dense, localized features were used for their description. SuperPoint [174] and D2-net [176] were robust across various conditional changes. By extracting unique patterns based on the strongest convolutional layers' responses, the most prominent regions were selected in [202]. Multiple learned features were then generated from the activations within each spatial region in the previous convolutional layer. This technique was additionally extended by a flexible attention-based model in [203]. Garg et al. built a local semantic tensor (LoST) from a dense semantic segmentation network [206], while a two-stage system based on semantic entities and their geometric relationships was shown in [204]. Region-VLAD (R-VLAD) [205] combines a low-complexity CNNbased regional detection module with VLAD. DELF was recently extended by R-VLAD via down-weighting all the regional residuals and storing a single aggregated descriptor for each entity of interest [207].

#### 1.3.2 Looking behind

As mentioned earlier, visual localization and loop-closure detection are quite similar tasks. They share the primary goal of finding the database's most alike view, but for loop detection, all images acquired during the robot's first visit to a given area are treated as the reference set for a query view. As the system processes the sensory input data, it incrementally generates the internal map, that is, the database, which plays a vital

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role in the subsequent steps for location indexing and confidence estimation about its current position. Depending on how the robot maps the environment, visual loop-closure detection pipelines are distinguished into single- and sequence-based. Frameworks of the first category seek the most identical view in the robot's route, while techniques belonging in the second category look for the proper location between sub-maps, i.e., groups of individual images. This section's remainder briefly describes representative approaches by distinguishing them based on how they map the trajectory and how the system searches the database for potential matches.

#### **1.3.2.1** Environment representation



(b) Sequence-based mapping

**Figure 1.8.** Depending on their trajectory mapping, appearance-based systems are divided into two main categories, namely (a) single- and (b) sequence-based. Methods of the former category represent each image in the database as a distinct location, while the latter category's schemes generate sequences, i.e., groups of individual images, along the navigation course. The observations included in each of these sequences, also referred to as sub-maps, typically consist of common visual data.

Single-based mapping is the most common scheme for visual loop-closure detection. During navigation, the extracted visual features from each input image are associated with a specific location (see Fig. 1.8a). When the off-line visual BoW model is used, the map is formulated as a set of vectors denoting visual words at each location [129]. Otherwise, a database of descriptors indexed according to their extracted location is built [122].

In contrast to the conventional single-based methods, various frameworks use imagesequence partitioning (ISP) techniques to define group-of-images along the traversed route, which are defined as smaller sub-maps [208–211], as illustrated in Fig. 1.8b. However, many challenges emerge when splitting the map into sub-maps, such as optimal size, sub-map overlapping throughout database searching, and uniform semantic map definition [75]. SeqSLAM [110], the most acknowledged algorithm in sequence-based mapping, has inspired a wide range of authors since its first introduction [212–216]. The multitude of these pipelines, with SeqSLAM among them, uses a pre-defined quantity of images to segment the trajectory into smaller regions referred to as places. Nevertheless, the unknown frame density, out-of-order traverses, and diverse frame separation are some of the characteristics which negatively affect the fixed-length sub-mapping methods' performance. To avoid such cases, dynamical sequence definition techniques are employed using landmarks' co-visibility properties [217–220], features' consistency among consecutive images [221], temporal models [222], or transition-based sub-mapping, e.g., through particle filtering [223].

#### 1.3.2.2 Location indexing

A visual loop-closure detection system must search for similar views among the ones visited to decide whether a query instance corresponds to a revisited location. Firstly, database images should not share any familiar landmarks with the query. This is because images immediately preceding the query are usually similar in appearance to the recent view; however, they do not imply that the area is revisited. Aiming to prevent the system from detecting false-positives, these locations are rejected based on a sliding window defined either by a timing constant [224] or environmental semantic changes [225]. Methods based on the off-line visual BoW model employ the inverted indexing technique for searching, wherein the query's visual words indicate the locations that have to be considered as potential loop events. In contrast, methods that do not follow this model implement an exhaustive search on the database descriptors' space [226–228].

#### 1.3.3 Decision making

The final step is the decision of whether the robot observes a previously mapped area or not. Different comparison techniques, which are broadly classified according to their map representation, have been proposed to quantify this confidence [229]; the first one is image-to-image, and the second is sequence-to-sequence. The former computes an individual similarity score for each database entry [100, 129, 226, 228], which is then compared against a pre-defined hypothesis threshold to determine whether the new image is topologically connected to the older one. Otherwise, the query cannot match any pre-visited one, resulting in a new location addition to the database. On the contrary, sequence-to-sequence is typically based on the comparison of places [67, 131, 212]. Subsequently, loop-closing image pairs are considered the groups' members with the highest similarity scores.

Moreover, to avoid erroneous detections, both temporal and geometrical constraints are employed, primarily to address perceptual aliasing conditions. Representative examples include recognizing a closed-loop only if supported by neighboring ones or if a valid geometrical transformation can be computed between the matched frames. As a final note, the resulting confidence metrics fill a square matrix whose (i, j) indexes denote the similarity between images  $I_i$  and  $I_j$ .



#### **1.3.3.1** Matching locations

**Figure 1.9.** Voting procedure during query. As the most recently obtained image's local descriptors are extracted at query time, votes are distributed to database locations l from where their nearest neighbor descriptor originates. The colored and gray cubes represent the votes casted to several locations. After the locations' polling, a voting score is received that is used to evaluate the similarity. The naive approach is based on the number of votes (top-right); however, since thresholding the number of votes is not intuitive, more sophisticated methods, such as binomial density function [125], utilize the location's total amount of aggregated votes to compute a probabilistic score which highlights loop-closure detections.

Sum of absolute differences (SAD), a location's similarity votes density, and Euclidean or cosine distance are the commonly used metrics employed to estimate the matching confidence between two instances. Directly matching the features extracted from two images represents a reasonable similarity measurement when global representations are used (either hand-crafted or learned ones). However, when local features are selected, voting schemes are selected. These techniques depend on the number of feature correspondences leading to an aggregation of votes, the density of which essentially denotes the similarity between two locations [111]. This is typically implemented by a k-nearest neighbor (k-NN) search [67, 147, 218, 226, 228, 230]. The simple approach is to count the number of votes and apply heuristic normalization [228]; however, in these cases, thresholding is not intuitive and varies depending on the environment. Rather than naively scoring the images based on their number of votes, Gehrig et al. [125] proposed a novel probabilistic model originated from the binomial distribution (see Fig. 1.9, bottom-right). By casting the problem into a probabilistic scheme, the heuristic parameters' effect is suppressed, providing an effective score to classify matching and non-matching locations, even under perceptual aliasing conditions. Over the years, prob-

#### 1.3 Loop-closure detection structure 17

abilistic scores were used to enhance the system's confidence [213, 231]. Similar to the Bayes approach discussed in [7, 15], later works followed the Bayesian filtering scheme to evaluate loop-closure hypotheses [51, 84, 95]. Each of the techniques mentioned above can be efficiently adopted in sequence-based methods (e.g., SeqSLAM [110], HMM-SeqSLAM [232], ABLE-M [233], S-VWV [133], MCN [234]). By comparing route segments rather than individual camera observations, global representations are able to provide outstanding results through the utilization of relatively simple techniques. As shown in SeqSLAM, to evaluate the locations' similarity, the SAD metric is used between contrast-enhanced, low-resolution images avoiding this way the need for key-points extraction. For a given query image, comparisons between the local query sub-map and the database are performed. The likelihood score is the maximum sum of normalized similarity scores over the length of pre-defined constant velocity assumptions, i.e., alignments among the query sequence and the database sequence images. This process is inspired by speech recognition and is referred to as continuous dynamic time warping (DTW) [235]. Alignment is solved by finding the minimum cost path [236], while dynamic programming [237], graph-based optimization [215], or the incorporation of odometry information [212] strengthens its performance [232]. To improve the systems' performance, frameworks based on dynamic adjustment of the sequence length are also proposed that leverage feature matching [238], GPS priors [233], or modeling the area hypotheses over different length assumptions [239].

#### 1.3.3.2 Exploiting the temporal consistency

In robot navigation, unlike large-scale image retrieval or classification tasks, where images are disorganized, sensory measurements are captured sequentially and without time gaps [240, 241]. Most pipelines pay a high price for indicating a loop-closure, but there is minor harm if one is missed since many chances in the following images are afforded due to the existing temporal continuity. Every sequence-based mapping technique leverages the sequential characteristic of robotic data streams aiming to disambiguate the boisterous single-based matching accuracy. The temporal consistency constraint, which is mainly adopted when single-based mapping is used, filters out inconsistent loop-closures through heuristic methods (e.g., continuous loop hypothesis before a query is accepted [147, 224]) or more sophisticated ones (e.g., the Bayesian filter [95, 129, 140, 145, 208]).

#### 1.3.3.3 Is the current location known? The geometrical verification

After data association, a geometrical verification check is often implemented by computing a fundamental/essential matrix or other epipolar constraints. Typically, it is performed using some variation of the RANSAC (RANSAC stands for RANdom SAmple Consensus) algorithm and additionally provides the relative pose transformation if a

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successful correspondence is found [242]. Moreover, a minimum number of RANSAC inliers has to be satisfied for a loop to be confirmed [243, 244].

When a stereo camera rig is used [181, 245, 246], a valid spatial transformation between the two pairs of matching images is computed through the widely used iterative closest point (ICP) algorithm for matching 3D geometry [247]. Given an initial starting transformation, ICP iteratively determines the transformation among two point clouds that minimizes their points' error. Still, a high computational cost accompanies the matching process when the visual and the 3D information are combined [74, 129]. As a final note, geometrical verification is based on the spatial information of hand-crafted local features. Typically, a system that uses single vector representations (either global or visual BoW histograms) needs to further extract local features, adding more complexity to the algorithm.

#### **1.4** Placing our contribution within the state-of-the-art

The research community has put strong foundations in the field of appearance-based place recognition to detect loops for SLAM, as the presented survey proved. To this end, we can characterize this dissertation as a missing part that fills the gap between the high performance and low-complexity needed for mobile robots within long-term operations when pre-trained techniques are avoided.

With the above notion in mind, we manage to achieve a standalone and "anytimeanywhere" ready system by adopting an online visual vocabulary formulation of the observed world using real valued-based local features upon a single-based mapping pipeline. More specifically, we exploit the positive aspects of each part in the loopclosure detection structure to construct a robust system with high performance and low-complexity for datasets up to 13km. In particular, since an efficient and robust estimation is vital for achieving accurate navigation, SURF, which provide speed and accuracy, are used. Next, an incremental visual BoW approach is utilized, as we intend to generate a visual vocabulary able to be adapted to every environment employed, avoiding this way false detections which can still arise when applied to environments with good visual characteristics which are not distinguishable due to the finite number of previously generated visual words. Through the proposed incremental-based visual BoW model, we manage to reduce the map size intensively, producing the smallest, in terms of memory consumption and size, dictionary up to date. Subsequently, the map representation constitutes a vital functionality that refers to the model followed for "remembering" the robot's knowledge about the explored world. As referred to in Section 1.3.2.1, many challenges could arise when segmenting the trajectory into places during the course navigation. To this end, the proposed system follows a singe-based mapping technique. At last, regarding the *decision making* module, following the nature of our mapping technique, i.e., online visual BoW, we use the probability density function over the accumulated votes cast by the traversed locations during query.

Nevertheless, aiming to build that system, two straightforward appearance-based place recognition methods were developed, each offering different findings. In particular, the first approach demonstrates the importance of the incremental visual BoW model in the system's performance and the probabilistic score assigned to voted locations during query. Furthermore, this method follows a hierarchical-based mapping, i.e., image-to-sequence comparisons, for achieving low-complexity. On the other hand, the second framework performs upon a sequence-based mapping technique. Aiming to take advantage of places' comparison against image-to-image, we propose a dynamic ICP method based on points' tracking. This way, our system can demonstrate sub-linear database search while at the same time preserving high accuracy.

As a final note, intending to serve as benchmarks for the research community, opensource implementations of the presented works are publicly-available. From the user's perspective, the frameworks consist of two major parts: (1) the *feature extraction* block that takes raw visual sensory data and maps the environment, and (2) the *decision making* procedure, where the system searches for loop-closure events.

# Benchmarking

In order to benchmark a given place recognition approach, three main components are made use of: the datasets, the ground truth information, and the evaluation metrics. Accordingly to the case under study, a variety of datasets exist in the literature. The ground truth is typically formed in the shape of a boolean matrix whose columns and rows denote observations recorded at different time indices (i, j). Hence, the 1 indicates a loop-closure event between instances i and j and 0 otherwise. This matrix, together with the similarity one, is used to estimate how the system performs. Typically, the off-diagonal high-similarity elements of the generated similarity matrix indicate the locations where loops are closed. Finally, the chosen evaluation metric is the last component needed for measuring the performance.

#### 2.1 Evaluation metrics

The relatively recent growth of the field has led to the development of a wide variety of datasets and evaluation techniques, usually focusing on precision-recall metrics [248]. These are computed from a place recognition algorithm's outcome: the correct matches are considered true-positives, whereas the wrong ones as false-positives. In particular, a correct match is regarded as any identified database entry located within a small radius from the query's location, whilst incorrect detections lie outside this range. False-negatives are the loops that had to be detected; yet, the system could not identify them. Thus precision is defined as the number of accurate matches (true-positives) overall system's detections (true-positives plus false-positives):

$$Presicion = \frac{True-positives}{True-positives + False-positives},$$
(2.1)

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**Figure 2.1.** An illustrative example of two hypothetical precision-recall curves monitoring a method's performance. A curve is extracted by altering one of the system's parameter. The highest possible recall score for a perfect precision ( $R_{P100}$ ), which is the most common indicator for measuring the system's performance, is shown by the red and green cycles. The precision at minimum recall ( $P_{R0}$ ) is depicted by the black cycle, while the gray color areas denote the area under the curve. At a glance, the two curves suggest that the red curve is better than the green one. Indeed, the corresponding metrics, that is, the  $R_{P100}$  at 0.6 and the expected precision at 0.8, confirm that the red curve denotes improved performance even if the area under the curve is larger in the green curve.

whereas recall denotes the ratio between true-positives and the whole ground truth (sum of true-positives and false-negatives):

$$Recall = \frac{True-positive}{True-positive + False-negatives}.$$
 (2.2)

A precision-recall curve shows the relationship between these metrics and can be obtained by varying a system's parameter responsible for accepting of a positive match, such as the loop-closure hypothesis threshold. The area under the precision-recall curve (AUC) is another straightforward metric for indicating the performance. Its value ranges between 0 and 1; yet, any information with respect to the curve's characteristics is not retained in AUC, including whether or not the precision reaches 100% at any recall value [249]. The average precision is also helpful when the performance needs to be described by a single value. Generally, a high precision across all recall values is the main goal for a loop-closure detection system, and average precision is capable of capturing this property. However, the most common performance indicator for evaluating a loop-closure detection pipeline is the recall at 100% precision ( $R_{P100}$ ). It represents the highest possible recall score for a perfect precision (i.e., without false-positives), and it is a critical indicator since a single false-positive detection can, in many cases, cause a total failure for SLAM. However,  $R_{P100}$  cannot be determined when the generated

curves are unable to reach a score for 100% precision. To overcome this problem, the extended precision (EP) metric is introduced as:  $EP = (P_{R0} + R_{P100}) / 2$ . EP summarizes a precision-recall curve through the combination of two of its most significant features, namely, precision at minimum recall (P<sub>R0</sub>) and R<sub>P100</sub>, into a comprehensible value. In Fig. 2.1, a representative example of two hypothetical precision recall curves is given. As shown, each depicted evaluation metric indicates that the red curve produces better performance (R<sub>P100</sub> = 0.6 and EP = 0.8) than the green one; although, the area under the curve is larger in the latter hypothesis.

#### 2.2 Datasets

Dataset	Number of frames	TraversedImage size &distancefrequency		Camera orientation	
KITTI course 00	4551	pprox 12.5 km	1241 × 376, 10 Hz	Frontal	
KITTI course 02	4661	$\approx 13.0 \text{ km}$	1241 × 376, 10 Hz	Frontal	
KITTI course 05	2761	$\approx$ 7.5 km	$1241 \times 376, 10 \text{ Hz}$	Frontal	
KITTI course 06	1101	$\approx 3.0$ km	$1241 \times 376, 10 \text{ Hz}$	Frontal	
Lip 6 outdoor	1063	$\approx 1.5$ km	$240 \times 192$ , 1 Hz	Frontal	
EuRoC MH 05	2273	$\approx 0.1 \text{ km}$	$752 \times 480, 20 \text{ Hz}$	Frontal	
Malaga parking 6L	3474	$\approx 1.2 \text{ km}$	$1024 \times 768$ , 7 Hz	Frontal	
New College	2624	$\approx 2.2$ km	$512 \times 384$ , 1 Hz	Frontal	
Oxford City Centre	1237	$\approx 1.9$ km	$1024 \times 768$ , 7 Hz	Lateral	

 Table 2.1. Details about the used datasets.

A total of nine publicly-available image-sequences are chosen for our experiments to validate the performance of the pipelines proposed in this thesis. The chosen datasets represent urban environments, indoor and outdoor areas, recorded through various platforms with a purpose to examine the systems' adaptability and capability to generalize. In Table 2.1, a summary of each image-sequence used is provided, while their detailed characteristics are discussed in the following sections.

# 2.2.1 Karlsruhe Institute of Technology and Toyota Technological Institute (KITTI) vision suite

A renowned benchmark environment in the robotics community is the Karlsruhe Institute of Technology and Toyota Technological Institute (KITTI) vision suite giving a wide range of trajectories (including more than 40000 images) with accurate odometry information and high-resolution image properties (for both image size and frame-rate) [250]. The suite comprises 21 image-sequences; however, the courses 00, 02, 05, and 06 are the

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**Figure 2.2.** Some example images that taken from the Karlsruhe Institute of Technology and Toyota Technological Institute (KITTI) vision suite [250]. From top to bottom: (a) course 00, (b) course 02, (c) course 05, and (d) course 06.

ones used for our experiments since they provide actual loop-closure events compared to the rest ones. The incoming visual stream is captured via a camera system placed on a forward-moving car traveling through countryside roads. Nevertheless, as the proposed systems aim to monocular loop-closure detections, only one camera stream is considered. The ground truth information is not included in the KITTI suite. Thus the corresponding information is manually obtained via the dataset's odometry data by the authors in [98]. As a final note, their trajectories are plotted in the following chapters based on the pose file provided in each dataset. Example images of the used image-sequences are shown in Fig. 2.2.

## 2.2 Datasets **25**



**Figure 2.3.** *Example images of the considered datasets. From left to right: (a) Lip6 outdoor [140], (b) EuRoC machine hall 05 [251], and (c) Malaga 2009 parking 6L [252].* 

#### 2.2.2 Lip 6 outdoor

This dataset originates from the work of Angeli *et al.* [140], providing two imagesequences. It is recorded by a handheld camera facing many loop-closures in an outdoor urban environment and a hotel corridor. Yet, for our solutions' evaluation, only the outdoor image-sequence is utilized. Both are considered challenging due to the sensor's low frame-rate and resolution, while they contain their ground truth information. What makes this dataset particularly interesting for our experiments is that areas, which are revisited along the trajectory, overlap more than two times. An illustrative example from the Lip6 outdoor image-sequence is presented in Fig 2.3a.

#### 2.2.3 EuRoC Machine Hall 05

The EuRoC Machine Hall 05 (EuRoC MH 05), part of the EuRoC Micro Aerial Vehicle (MAV) dataset [251], is also utilized. This image-sequence presents rapid velocity variations along the trajectory and multiple examples of loop-closure events with slight fluctuations in illumination. Cameras provide visual, sensory information with a high acquisition frame rate mounted on a MAV recording an industrial environment (see Fig. 2.3b). Its ground truth information is computed manually through the corresponding highly accurate odometry data.

#### 2.2.4 Malaga 2009 parking 6L

This environment [252] is recorded at an outdoor university campus parking lot containing mostly cars and trees, as shown in Fig. 2.3c. The camera data are provided through a stereo vision system mounted on an electric buggy-typed vehicle; however, as we aim to monocular appearance-based pipelines, only the right camera stream is used. At last, plenty of loop-closure examples are presented, while the authors in [253] manually label its ground truth.

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**Figure 2.4.** *Example images of the considered datasets. (a) New College vision suite [254] on the left side and (b) Oxford City Centre [129] on the right side.* 

#### 2.2.5 New College vision suite

This image-sequence has been registered by the vision system of a robotic platform with two cameras positioned on the left and right sides while moving through an outdoor area from the New College, University of Oxford, England [254] (see Fig. 2.4a). Yet, within the scope of this work, only the right stream is considered in our experiments. Moreover, due to the robot's low velocity and high camera frequency, its incoming visual data is resampled to 1 Hz from its initial 20 Hz rate (see Table 2.1), simulating a more representative example of modern robotic platforms. Finally, its ground truth is manually labeled through the odometry information.

#### 2.2.6 The Oxford dataset: City Centre

City Centre belongs to the Oxford dataset, which was initially recorded for the evaluation of FAB-MAP [129]. Since then, this image-sequence is extensively utilized in visual SLAM and, in particular, to evaluate loop-closure detection pipelines. The image stream is collected by the same robotic platform used in the New College vision suite. Similarly, the right camera-input stream is selected for our experiments. City Centre is recorded to validate the ability of a system for matching images when the camera orientation is lateral (Fig. 2.4b). The authors also give ground truth information in terms of actual loop-closures.

#### 2.3 Reference solutions

In the previous chapter, we surveyed the most important works for addressing the problem of appearance-based place recognition throughout SLAM. For this thesis, three of them are selected as reference works for validating the proposed solutions: iBoW-LCD [150], SeqSLAM [110], and the work proposed by Gehrig *et al.* [125]. More specifically, iBoW-LCD is a state-of-the-art loop-closure detection system based on an incremental BoW model for the the trajectory's mapping. Using binary features

provided by ORB, the dictionary is built in a hierarchical structure for an efficient search during the query process. Aiming to reduce the uncontrolled insertion of visual elements, the authors remove visual words that are not deemed useful. Subsequently, through a temporal filter based on the concept of dynamic islands [131], the algorithm groups images close in time and prevents adjacent frames from competing among them as loop candidates. Its evaluation along the experimental protocol comes from the open-source implementation provided by the authors.

SeqSLAM constitutes one of the most recognized algorithms in sequence-based visual place recognition exhibiting the system's performance improvement by comparing group-of-images to decide about its position in the world. For this case, its open-source implementation [214] is used for our experiments. Its configuration is based on the OpenSeqSLAM2.0 MATLAB toolbox [236] except for the sequence's length, which is the most critical parameter of the algorithm. Longer sequence lengths usually perform better in terms of precision-recall, but our experiments showed the opposite behavior in some datasets. Since we want a fair comparison, this parameter is set to its default value.

The third reference work, taken into account for comparison purposes in this thesis, comes from the work of Gehrig *et al.* [125]. This approach adopts a probability score generated through the binomial density function to recognize similar previously visited areas. Based on local descriptors for image representation, the authors distribute votes to the database and then use the number of votes collected by each location. However, since a source code regarding their method is not available, we implemented a SURF-based version to offer a complete view of the impact of our solutions. For a fair comparison, its parameterization is based on the works presented in this thesis.

Nevertheless, for the sake of completeness, comparative results are also given against other representative works in visual place recognition using online BoW techniques, namely IBuILD [147], FILD [224], and Kazmi and Mertsching [95]. Moreover, for the sake of completeness, comparisons are also presented against approaches based on a previously trained vocabulary with the aim to help the reader to identify the place of the proposed pipeline within the state-of-the-art. More specifically, FAB-MAP 2.0 [84], DBoW2 [131], and PREVIeW [255] are used. The maximum recall scores achieved at 100% precision for each approach are based on the figures reported in the papers used in this thesis for image-sequences with the ground truth provided by the respective authors. The term N/A indicates that the corresponding information is not available from any cited source, while the dash (-) designates that the approach fails to reach a recall score for perfect precision. Regarding PREVIeW and FILD, evaluation occurred based on the open source implementations, with the default parameter configurations provided in the respective codes, while the authors in [95] performed the presented evaluations based on our ground truth information. In addition, for the case of FAB-MAP 2.0 and DBoW2, where no actual measurements are provided regarding the used datasets, the presented performance is obtained from the setup described by [95] and [253], respectively.

# **S** Probabilistic appearance-based place recognition through hierarchical mapping

As the storage requirements needed to map the whole environment in long-term applications constitute a crucial factor, in this chapter, we present an efficient appearance-based place recognition pipeline for detecting loops through the trajectory's hierarchical mapping with incremental generated visual words. The proposed pipeline, dubbed as iGNGmap-LCD, is described in detail in the following section. In Section 3.2, the experimental evaluation and the comparative results are presented.

#### 3.1 Methodology

The proposed system operates in a pipeline fashion; the incoming data is the image stream. First, a local feature matching coherence check is performed among consecutive image frames to define the trajectory's places. Subsequently, through dynamic clustering over each sub-map's accumulated descriptors, the corresponding visual words are generated. Then, in the course of the query, local features from the most recently acquired image are extracted and seek to their most similar visual words in the database, viz., the traversed route (see Fig. 3.1). This way, a new vote is assigned to each group-of-images where the visual word corresponds, while a probabilistic score, generated through the binomial density function, determines each sub-map similarity. Next, a k-NN technique on the descriptors' space indicates the proper image in the selected place. Finally, the chosen frame is propagated to geometrical and temporal consistency checks in order to be accepted as a loop-closure match. An outline of the proposed algorithm is shown in Fig. 3.2.

#### 3.1.1 Defining sub-maps

For each image I entering into the system, the  $\nu$  most prominent key-points extracted via SURF are indicated. However, as the robot navigates through the field, some of



**Figure 3.1.** A representation of the proposed appearance-based place recognition method while querying the database for loop-closures. Red and green outlined frames indicate different places (groups-of-images) the robot constructed during its autonomous navigation. Each of these sub-maps contains a different and unique set of incrementally generated visual words. Local feature descriptors seek their nearest neighboring visual words at query time, distributing votes to previously visited sub-maps. The proper pair (image-to-place) is indicated through the probabilistic score generated via binomial density function upon each group's vote aggregation (density of dashed arrows).

the incoming camera measurements may not produce enough visual information, e.g., observing a black plain. Therefore, to avoid the definition of inconsistent sub-maps, images that contain less than  $\xi$  key-points are rejected. To this end, during the robot's online operation, the projected descriptor space is constantly updated by the detected feature vectors  $d_I$ . Yet, instead of reducing the descriptors' dimensionality, the proposed algorithm utilizes the whole SURF space.

More specifically, new sub-maps S are determined through a feature matching coherence check. This way, at time t, the incoming image stream  $I_{(t-n)}$ , ...,  $I_{(t-2)}$ ,  $I_{(t-1)}$ ,  $I_{(t)}$  is segmented when the correlation between the last n images' descriptors cease to exist:

$$\left|\bigcap_{i=0}^{i=n} d_{I_{(t-i)}}\right| \le 1 \tag{3.1}$$

where |X| denotes the cardinality of set X. A descriptors' database  $D_S$  is also retained for each group-of-images, as shown in Fig. 3.2 (left), via:

$$D_S = \bigcup_{i=0}^{i=n} d_{I_{(t-i)}}$$
(3.2)



enters the pipeline, dynamic places, defined as sub-maps (S(t)), are formulated through a feature matching technique (left). Subsequently, the accumulated local feature descriptors  $(D_{S(t)})$  are fed into the growing neural gas (GNG) clustering mechanism [256], where the corresponding Figure 3.2. An overview of the proposed appearance-based place recognition pipeline for loop-closure detection. As the incoming image stream sub-map's visual words (VWs $(S_i)$ ) are generated (center). At query time, image descriptors  $(d_{Q(t)})$  seek their nearest neighboring visual words into the incrementally generated visual vocabulary (VV). During descriptors-to-visual words matching, votes are shared among the places, where the latter belong. Finally, the candidate place is located according to its probabilistic score originated by its vote density (right). The highlighted red area indicates the density rarity a loop-closure event would produce.

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#### 3.1.2 Assigning visual words to places

In order to assign visual words to sub-maps, local descriptors  $D_S$  are utilized as input to the growing neural gas clustering algorithm [256]. In contrast to other popular clustering methods, where the number of clusters is predefined, the proposed clustering method incrementally adds new nodes, i.e., visual words, until an error-minimization criterion is met. Since our approach uses the specific mechanism for quantizing the feature vectors, its main parameterization remains the same as the original implementation. The maximum allowed set of visual words ( $\alpha$ ), generated by the growing neural gas, is determined as equal to the images' extracted local features  $\nu$ . This analogy is chosen to provide a direct correspondence between visual words and image features. Thus, a new visual word is created when a frequency criterion  $\varphi$  is met, defined as the ratio between the maximum number of visual words per place and the mean of places' length  $\mu (\varphi = \nu/mean(\mu))$ . At the same time, as the system intends to be of low computational complexity, the number of iterations ( $\varepsilon$ ) needed for the clustering mechanism is selected to the lowest permissible. Finally, a visual vocabulary, that is, the incremental constructed database, is retained during the procedure:

$$VV = \bigcup_{i=1}^{i=t} VWs(S_i), \qquad (3.3)$$

where the term  $S_t$  is the latest formulated sub-map in the trajectory (see Fig. 3.2 center). An indexing list is also maintained along the visual vocabulary providing image-to-place associations during the inference procedure.

#### 3.1.3 Sub-map indexing

Aiming to avoid false-positive loop-closure detections originating from early visited locations, a searching area (VV<sub>Search area</sub> in Fig. 3.2) that rejects recently acquired input images is defined based on a temporal constant  $\psi$ :

$$VV_{Search area} = VV \cap [VWs(S_1), VWs(S_{t-\psi})]$$
(3.4)

Given a query image  $I_Q$ , a searching procedure is performed among the produced sub-maps to detect loop-closure candidates. The nearest neighbor mechanism projects the query's local features to the visual words included to the search area. Unlike most previously trained visual BoW-based systems, where histogram comparison techniques are used, the proposed method adopts a voting scheme. This way, the current image's feature descriptors seek the most similar visual words into the database, and votes are distributed to places according to the visual words' origin (see Fig. 3.3). The vote density  $x_i(t)$  of each place *i* constitutes the factor for determining the probabilistic score. It is worth noting that even if a threshold over the accumulated votes could be

#### 3.1 Methodology 33



**Figure 3.3.** The query process of the proposed single-based hierarchical mapping visual place recognition method. As the incoming image stream is processed, votes are distributed to sub-maps based on the local feature descriptor to visual word association. Subsequently, the candidate sub-map is indicated through the probabilistic score, given via the binomial density function over its accumulated votes.

applicable, adopting such a naïve technique is uncertain how the system would behave when the number of votes is insufficient (e.g., due to low textured visual information).

Hence, a binomial probability function is employed to check the trajectory for potential revisited areas when the voting procedure is completed. More specifically, the nature of the binomial function is to seek for rareness events. In particular, in cases where the robot traverses a hitherto unseen location (never encountered before), votes should be randomly distributed to their nearest neighboring words in the database even if they are not accurately associated with a similar one. This fact constitutes a common event with high probability, meaning that the locations' vote density should be low. Ergo, the number of aggregated votes for each database place should obey a binomial distribution (see equation 3.5). Contrariwise, when confronting a previously visited environment, the corresponding votes cast for a specific location increase. Thus, the random vote distribution expected from the binomial function would be violated. As a consequence, the event would be considered of low probability with an increased voting score. Such instances are interpreted as loop-closure candidates by the proposed system:

$$X_i(t) \sim Bin(n, p), n = N(t), p = \frac{\lambda_i}{\Lambda(t)},$$
(3.5)

where N denotes the multitude of query's local feature descriptors  $(d_Q)$ ,  $\lambda$  corresponds to the total of database sub-map's *i* visual words, and  $\Lambda(t)$  is the total of visual words within the searching area (VV<sub>Search area</sub>). The probability score is calculated for each place, while two conditions have to be satisfied before a candidate is recognized as known. Its score has to satisfy a threshold value th:

$$Pr(X_i(t) = x_i(t)) 
(3.6)$$

while, the number of accumulated visual words for the specific sub-map needs to be greater than the distribution's expected value:

$$x_i(t) > E[X_i(t)].$$
 (3.7)

The second condition is responsible for discarding the cases where fewer votes are collected via random voting.

#### 3.1.4 Images' correspondence

Up to this point, iGNGmap-LCD has a strong belief about a previously visited place in the traversed route. As a final step, an image-to-image correlation is performed between the current image and the most similar member of the chosen sub-map  $S_{(m)}$  in the database. Based on a k-NN classifier (k = 1), the query's descriptors  $d_Q$  are matched with those ( $D_{S_{(m)}}$ ) belonging to  $S_{(m)}$ . The image that gathers the most matches is considered a loop-closure candidate and proceeds for further validation.

In particular, the chosen pair is subjected to a geometrical consistency check to avoid a false-positive match. Aiming to achieve this, we try to estimate a fundamental matrix Tbetween the selected images using a RANSAC-based scheme. If T computation fails or the number of inlier points between the two images is less than a factor  $\tau$ , the candidate image is ignored. Finally, intending to accept a matching pair, the method incorporates a temporal consistency check among the last  $\beta$  input frames. More specifically, a loopclosure event is accepted when the aforementioned conditions are met for  $\beta$  consecutive images.

#### **3.2** Experimental results

This section evaluates the proposed system through several experiments and compares the achieved performance and storage consumption needed against the reference method of iBoW-LCD reported in chapter 2. Moreover, performance comparisons are given against other place recognition pipelines, namely FAB-MAP 2.0 and DBoW2. The method is tested upon five image-sequences: KITTI courses 00, 02, 05, Malaga parking 6L, and New College. However, only KITTI course 05 is used for the parameters' evaluation, while the others for measuring the system's performance. In all cases, the algorithm is configured using the values indicated in Table 3.1. These parameters remain fixed in all tested scenarios aiming to assess the iGNGmap-LCD's impact. The average place' length  $\mu$  is observed to be approximately 12 for most of the tested datasets during

# 3.2 Experimental results 35

Parameter	Symbol	Value
Minimum detected local features per image	ξ	5
Maximum prominent local features per image	ν	300
Maximum generated visual words per place	$\alpha$	300
Search area time constant	$\psi$	40 sec
Geometrical verification inliers	au	12 [131]
Images' temporal consistency	$\beta$	2
Probability score threshold	$\sigma$	$10^{-12}$

Table 3.1. Parameters utilized from the proposed hierarchical mapping pipeline.

the experiments. In addition, the chosen searching offset  $\psi$  is selected accordingly to avoid detections with strong spatiotemporal relationships.

#### **3.2.1** Performance evaluation



**Figure 3.4.** Precision-recall curves evaluating the utilized local features  $\nu$  per image. This corresponds to the number of visual words generated in each place ( $\alpha$ ). 300 features per image provide better recall rate, while the execution time remains low. Experiments are performed on *KITTI* course 05 [250].

As the loop-closure threshold th is varied, we monitor the precision-recall curves obtained for different cases of local feature number preserved for every image ( $\nu = 200, 300, 400, 500$ ). As shown in precision-recall curves depicted in Fig. 3.4, the system's achieved performance resembles in cases where the extracted elements are less than 400. As the number of accepted features increases, it is observed that the recall rate (corresponding to 100% precision) is decreasing. This is because weak features, detected during the robot's first visit to a specific area, are mainly noisy. Thus, it is less probable for them to be matched during a loop-closure event.

In addition, we assess the effect of growing neural gas iterations in the execution time needed for generating the corresponding visual words. As illustrated by the red curve in Fig. 3.5, the system's performance improves when the number of iterations increases. However, the execution time is raised by a factor of two from the first to the second





**Figure 3.5.** Precision-recall curves evaluating the execution time of the proposed algorithm against the growing neural gas iterations. While the second iteration (red line) doubles the complexity, the recall rate (for 100% precision) indicates a similar performance to the first one (black line). Experiments are implemented on KITTI course 05 [250].

iteration (from 400 ms to 820 ms). Bearing that in mind, a small percentage of recall is sacrificed for a faster implementation. The overall performance of iGNGmap-LCD is shown in Fig. 3.6.



**Figure 3.6.** Precision-recall curves for the proposed approach. Color markers (cycles) on the top of the graphs highlight the highest recall for 100% precision ( $R_{P100}$ ).

#### 3.2.2 System's response

		Average Time (ms)
Feature	Key-point detection	51.1
extraction	Key-point description	13.6
Environment	SURF matching	12.1
representation	SURF clustering	226.0
Decision	Votes distribution	45.5
making	Matching	54.5
Total pipeline		402.8

Table 3.2. Processing time per image (ms/query) of iGNGmap-LCD.

Similarly, aiming to analyze the computational complexity, the proposed system is tested on KITTI course 05. In Table 3.2, an extensive assessment of the system's response time is provided. Feature extraction denotes the time needed for extracting SURF (key-points detection and description), while the *environment representation* process involves the timings for feature matching and visual words' generation (SURF clustering) through growing neural gas. The *decision making* step is slit into the votes' distribution and the image-to-image matching procedure. The former corresponds to the time required for the k-NN search, while the latter is the time needed for descriptors' association between the members of the query place and the ones belonging to the query image. The time for the geometrical verification is also included. As shown in Table 3.2, loop-closures are detected efficiently, with each step achieving low-complexity, except for the clustering process, which is the highest one. Still, this a common characteristic for every approach based on an incremental visual vocabulary. However, thanks to the small number of generated database entries describing the robot's traversed path, the time required for the votes' distribution is meager. Finally, image-to-image matching is also fast even if a geometrical check is performed.

#### 3.2.3 Comparative results

Table 3.3 compares the recall score for flawless precision ( $R_{P100}$ ) of the proposed method against two well-known approaches. The results show that iGNGmap-LCD can achieve high recall rates in every tested environment outperforming each of the systems with which it is compared. In addition, in Table 3.4, we exhaustively compare our pipeline with the reference method of iBoW-LCD. The final mapping size, i.e., the visual vocabulary of SURF and ORB, the storage requirements S(Mb), and the recall scores R(%), are presented. It is worth noting that our method implies the higher recall

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**Table 3.3.** Performance comparison with other well-known appearance-based place recognition methods. The results show the achieved recall rates (%) for 100% precision ( $R_{P100}$ ). Entries highlighted with bold indicate the best performing approach for each dataset.

	FAB-MAP 2.0	DBoW2	iGNGmap-LCD
Dataset	R(%)	R(%)	R(%)
KITTI course 00	61.2	72.4	93.1
KITTI course 02	44.3	68.2	76.0
KITTI course 05	48.5	51.9	94.2
Malaga parking 6L	21,8	74.7	87.9
New College	52.6	47.5	88.0

**Table 3.4.** In depth comparison with the framework of iBoW-LCD. Number of generated visual words SURF(#), vocabulary storage consumption S(Mb), and recall score R(%) for the proposed pipeline and iBoW-LCD are given. As can be observed, our system achieves substantially lower amount of generated visual words for each evaluated dataset, while reaching high performance for every evaluated image-sequence.

	iB	oW-LCD	1	iGNC	Gmap-LC	D
Dataset	ORB(#)	S(Mb)	R(%)	SURF(#)	S(Mb)	R(%)
KITTI course 00	958K	29.2	76.5	45K	11.0	93.1
KITTI course 02	950K	28.9	72.2	46K	11.2	76.0
KITTI course 05	556K	16.9	53.0	25K	6.1	94.2
Malaga parking 6L	806K	24.5	57.4	31K	7.5	87.9
New College	254K	7.7	73.1	33K	8.0	88.0

values, while at the same time, its map size (both in terms of generated visual words and memory consumption) is noticeably smaller.

# Dynamic places' definition for sequence-based visual place recognition

As mentioned in Chapter 1, many challenges arise when breaking the map into sub-maps. Using a predefined number of images and a sliding window scheme improves a sequencebased visual place recognition pipeline; however, this functionality is computationally costly since the robot needs to compare its query place with every possible sub-map that not exhibits the same semantics as its neighboring ones.

Aiming for an efficient and low-complexity framework independent from any training procedure, we present Tracking-DOSeqSLAM. The proposed paradigm relies on local point tracking for dynamic and online sub-map definition. Points are extracted from the perceived camera measurement, and through the Kanade-Lucas-Tomasi (KLT) tracker [257], a new place is determined when the contained point tracking fails to advance in the next image frame. This way, we avoid the computationally costly feature matching process among consecutive images. a technique frequently adopted for ICP, while robustness is achieved regarding the generated places' size. The remainder of this chapter is organized as follows. In Section 4.1, the proposed pipeline is described in detail, while in Section 4.2, the proposed framework is evaluated in seven datasets and compared in depth with its reference approach, i.e., SeqSLAM, as well as our solution presented in Chapter 3.

#### 4.1 Methodology

This section presents an extended description of the proposed low-complexity and sequence-based place recognition pipeline. Since the proposed algorithm aims at the sequences' dynamic definition, the transition from the fixed-size approach to the proposed version is presented. First, based on local key-points extracted from the incoming image, the system formulates each place dynamically through the KLT point tracker. Subsequently, following the SeqSLAM's feature extraction steps, the visual data are downsampled and normalized. Next, each image is compared to each previously



**Figure 4.1.** An overview of the proposed sequence-based visual place recognition for simultaneous localization and mapping. As the incoming camera information  $(I_1^P)$  arrives to the pipeline, key-points are extracted through the detection and description algorithm of speeded-up robust features (SURF) [86] each time a new sub-map begins its generation. Subsequently, the visual information follows the SeqSLAM's [110] processing steps. In particular, it is downsampled and normalized before compared to the previously visited locations. When the subsequent image  $(I_{++}^P)$  enters the system, points are tracked through the Kanade-Lucas-Tomasi (KLT) method [257] to define places dynamically. Finally, when point tracking is lost, and a temporal constant is satisfied, the database is queried with the latest formulated place.

visited via SAD, and finally, when a temporal constant is satisfied, the database is searched for candidate loop-closures. An outline of the proposed workflow is shown in Fig 4.1.

#### 4.1.1 Efficient places' definition through point tracking

Algorithm 1 Place definition
<b>Input:</b> <i>I</i> : Incoming image, <i>P</i> : Place index, $L^P$ : Place length
<b>Output:</b> P: Place index, $L^P$ : Place length
if $L^P == 0$ then
SP <sub>I</sub> = detectSURF(I) // extract $\nu$ SURF key-points from I
$TP_{I-1} = KLT(SP_I) // initialize tracked points$
$numTrackedPoints = sum(TP_{I-1})$
$L^{P}$ ++
else
$TP_{I-1} = KLT(TP_I, I) // track points in I$
$TP_{I-1} = TP_I //$ set tracked points for next iteration
$numTrackedPoints = sum(TP_{IP})$
$L^{P}$ ++
end
if numTrackedPoints < 1 then
<i>P</i> ++
$L^P = 0$
end

Point tracking is essential for several high-level computer vision tasks, such as motion estimation [258], structure from motion [259], and image registration [260]. Since the earliest works, point trackers have been used as a *de facto* tool for handling points in a video. We chose to adopt a tracker based on a floating-point, local feature detection and description algorithm throughout the navigation procedure. More specifically, in the proposed framework, the sub-maps' are defined owed to a repeatability check of points' occurrence between consecutive image frames. A set of  $\nu$  key-points detected via SURF (SP $_{I_1^P} = \{\text{sp}_{I_1^P}^1, \text{sp}_{I_1^P}^2, ..., \text{sp}_{I_1^P}^\nu\})$  in the first location of each place  $(I_1^P)$ . Next, the points are fed into a KLT tracker along with the subsequent perceived visual measurement  $(I_{++}^P)$ , yielding a set of tracked points ( $\text{TP}_{I_{++}^P} = \{\text{tp}_{I_{++}^P}^1, \text{tp}_{I_{++}^P}^2, ..., \text{tp}_{I_{++}^P}^P\}$ ). Points in  $I_{1++}^P$  are browsed within 3 levels of resolution, around a 31×31 patch allowing the system to handle large displacements between images. In such a way, robust sub-maps are generated, even if occlusions occur owing to moving objects, as evidenced by the experimental evaluation in Section 4.2. Furthermore, aiming at a low-complexity pipeline, the computation of bidirectional error between points is avoided. As the algorithm progresses over time, points tend to be lost gradually due to lighting variation or out-of-plane rotation. At time *t*, when every point's repeatability expires, the previous

visual sensory stream  $I_{(t-n)}, ..., I_{(t-3)}, I_{(t-2)}, I_{(t-1)}$  is determined as a new place:

$$\left|\bigcap_{i=n}^{i=0} \operatorname{TP}_{I^P_{(t-i)}}\right| \le 1.$$
(4.1)

Finally, two important components are retained during navigation: i) the place index P and ii) its length  $L^P$ . Algorithm 1 summarizes this process.

#### 4.1.2 Images' modulation

Afterward, the SeqSLAM's *feature extraction* process follows. In particular, for each image I entering the system, the visual data are converted into the grayscale equivalent and then are downsampled into  $\chi$  pixels. Next, the resized image is normalized in an N-size local neighborhood and comparisons against the traversed path are performed employing SAD:

$$D_{ij} = \frac{1}{R_x R_y} \sum_{x=0}^{R_x} \sum_{y=0}^{R_y} |\rho_{x,y}^i - \rho_{x,y}^j|, \qquad (4.2)$$

where  $R_x$  and  $R_y$  denote the reduced dimensions of the images, while  $\rho$  represents each pixel's intensity value. A vector  $D_i$  for location *i* containing distance metric against every previously visited location *j* is generated, resulting in comparison matrix *D*.

#### 4.1.3 Place-to-place association

The query procedure starts when the latest group-of-images is determined. To perform reliable searching for similar sub-maps, the newly generated place  $P_Q$  should not share any common semantic information with the recently visited locations. To prevent the proposed pipeline from detecting such cases, we consider a temporal window  $t_W$ , which rejects locations visited just earlier  $(I_1^Q, ..., I_1^Q - t_W)$ . This window is defined based on a temporal constant  $\psi$  and the place's length  $L^Q$ :

$$t_W = \psi + L^Q. \tag{4.3}$$

This way, the searching area spans among the first perceived location  $I_1$  and the one determined by the temporal window  $I_1^Q - t_W$  as depicted in Fig. 4.2 by the red dashed line. The latest produced sub-map seeks into the navigated path for similar places via a sequence-based technique. For each database location  $I_j$ , belonging to the searching area, a difference score s is calculated by averaging the accumulated values:

$$s_j = \frac{1}{L^Q} \sum_{I_1^Q}^{I_{end}^Q} D_{jk}.$$
(4.4)





#### Algorithm 2 Detecting loop places

**Input:** D: Difference matrix, P: Query place index, L: Query place length, f: dataset's frame rate **Output:** *id*: Candidate index, *score*: Candidate score  $t_W = 40 * f + L //$  temporal window definition **for** each image  $I_j$  in Database **do**   $| T = \text{compute TrajectoryScores}(I_j, D, P, L)$   $t = \min(T)$   $S(I_j) = t$  **end**   $[id, score1] = \min(S) //$  find the minimum score and candidate index  $e = [I_{id-L/2}, ..., I_{id+L/2}] //$  define images around  $I_{id}$   $S(e) = \infty \setminus \text{reject images in } e$   $[\sim, score2] = \min(S) //$  find the second minimum score excluding images in escore = score1/score2 // compute the normalized score for  $I_{id}$ 

In the above,  $I_1^Q$  and  $I_{end}^Q$  are the first and last image-timestamps of the query sub-map, respectively,  $L^Q$  is the query's length and k denotes velocity assumption paths:

$$k = j + V(L^Q - I + t), (4.5)$$

where V is designated by multiple values within the range of  $[V_{min}, V_{max}]$  (advancing by  $V_{step}$  each time step t).

These scores are based on the values the trajectory line passes through in travelling from  $I_1^Q$  to  $I_{end}^Q$  (see Fig. 4.2). The trajectory with the minimum s value is selected as the representing score  $s_i$  between the query place and the one starting from image frame  $I_i$ . When all database images have been examined, a score vector is determined  $S = \{s_1, s_2, ..., s_{I_t^Q - t_W}\}$  and subsequently the minimum value is selected corresponding to the start location  $I_{id}$  of the candidate place. Next, following the *nearest neighbor* distance ratio [112] the chosen score is normalized over the second lowest score outside of a window range of equal size with the place's length  $L^Q$ . The normalized score, which is the ratio between these scores, is calculated for each place, while one of the following conditions have to be satisfied before a sub-map is recognized as loop-closure candidate. The recent score has to be lower than a threshold th (th < 0.7 [110]) or the score generated by the last two consecutive sub-maps to satisfy a threshold  $\theta$ . This temporal consistency check is incorporated in the proposed pipeline since loop-closure detection is a task submitting to a temporal order of the visited areas throughout the navigation. That is, if a place is identified as known, then it is highly probable that the following ones have also gone through. This way, we achieve to improve the system's performance, while the system avoids to lose actual loop detections due to strict thresholding. Algorithm 2 illustrates this process.
## 4.1.4 Local best match

Up to this point, the proposed algorithm is capable of identifying a previously visited place in the navigated map. Finally, an image-to-image correlation is performed between the query's locations and the most similar members of the selected sub-map in the database. Hence, each place's member is associated to the most similar from the corresponding ones in the matched database image through the SAD sub-matrix. Let us consider that at time t, the system correctly indicates a previously visited place by matching pair  $\langle I_1^Q, I_{id} \rangle$ . Our method defines a group-of-images which are the only set of database entries that are going to be evaluated through SAD metrics. We determine this group to be of double the size of cameras frequency  $\kappa$ , while is centered around  $I_{id}$  for  $I_1^Q$ , i.e.,  $I_{(id-1)-\kappa}, ..., I_{(id-1)+\kappa}$ . Hence, for the following image in the query place  $I_2^Q$  this area shifts by one.

## 4.2 Experimental results

Parameter	Symbol	Value
Downsampled image size	$\chi$	2048
Patch normalisation length	N	8
Reduced image size	$R_x, R_y$	32, 64
Minimum velocity	$V_{min}$	0.8
Velocity step	$V_{step}$	0.1
Maximum velocity	$V_{max}$	1.2
Extracted SURF points	ν	500
Search area time constant	$\psi$	40 sec

**Table 4.1.** Parameters utilized from the proposed sequence-based pipeline. Most of the reported values come from the OpenSeqSLAM implementation, while the rest are selected by means of our experiments.

This section provides an extensive evaluation of the proposed pipeline and its comparative results. Precision-recall metrics and the ground truth information are utilized to assess the algorithm performance in a total of seven datasets. Subsequently, the presented approach is compared with the baseline version of SeqSLAM, as well as other modern place recognition solutions. Moreover, aiming to exhibit the system's low-complexity, comparisons are performed against a modified version of SeqSLAM, dubbed as "DOSeqSLAM" (dynamic and online sequence-based place recognition for SLAM). Comparisons are performed based on the parameters in Table 4.1. Those values remain constant for every tested environment in order to prove the adaptability of Tracking-DOSeqSLAM.

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## 4.2.1 DOSeqSLAM

To define a dynamic sub-map in DOSeqSLAM, the process presented in Chapter 3 is followed. First, local key-points are detected via SURF from each incoming visual sensory data, and through a features' matching coherence check, new places are determined throughout the robot's traversed path. To identify a previously visited location, the searching process is based on a similar procedure as the one used in Tracking-DOSeqSLAM, i.e., when the latest place  $P_Q$  has defined, comparisons with the database are performed for the first frame in the previous generated sub-map  $P_{Q-1}$ . Next, several trajectories are projected on the distance matrix D for every traversed location j, and, subsequently, multiple scores s are calculated corresponding to different trajectory assumptions (Equation 4.5) by averaging the accumulated values (Equation 4.4). Afterwards, the minimum score s is selected, yielding an S vector, wherein the lowest value is chosen for the particular location  $I_j$ . This score is normalized over the second lowest value outside of a window:

$$W = P^{Q-2} * 2 + P^Q * 2 \tag{4.6}$$

resulting to  $\gamma$ . At last, an average weighted filter is applied for the final decision:

$$\mathbf{f}(\gamma) = \frac{1}{6}\gamma_{(P^{Q-2})} + \frac{4}{6}\gamma_{(P^{Q-1})} + \frac{1}{6}\gamma_{(P^{Q})}.$$
(4.7)

A candidate loop-closure place is accepted when factor  $\gamma$  is satisfied. At last, the system performs a greedy image-to-image search into the SAD sub-matrix for single image associations.

## 4.2.2 Parameters' discussion

In this subsection, we briefly discuss the system's chosen parameters. In general, most of the proposed values, e.g., downsampled image size  $\chi$ , image's reduced size  $R_x$ ,  $R_y$ , come from the initial version of SeqSLAM. Velocity's properties [ $V_{max}$ ,  $V_{min}$ ,  $V_{step}$ ] and the normalization parameter N are defined based on the open-source implementation of OpenSeqSLAM2.0 Matlab toolbox [236]. Extracted key-points  $\nu$  are defined via the precision-recall metrics in Fig. 4.3.

## 4.2.3 Performance evaluation

By altering the loop-closure decision parameter  $\theta$ , precision-recall curves are monitored for different cases of image's key-points detection ( $\nu = 100, 300, 500, 700$ ) in Fig. 4.3. The system's performance for the proposed dynamic sub-maps' generation is evaluated and compared against the approach of SeqSLAM and DOSeqSLAM. Furthermore, a 40 sec temporal window, similar to the proposed method, is applied to both solutions in

# 4.2 Experimental results 47



**Figure 4.3.** Precision-recall curves evaluating the utilized number  $\nu$  of extracted key-points of speeded-up robust features (SURF) [86] against SeqSLAM and DOSeqSLAM. Experiments are performed on KITTI courses 00, 02, and 05 [250], Lip 6 Outdoor [140], Oxford City Centre [129], New College vision suite [254], and Malaga parking 6L [252]. As the number of detected key-points increases, the proposed system presents a slight improvement, reaching recall values of about 77% in case of KITTI course 00, 85% in KITTI course 02, and 56% in KITTI course 05. In Lip 6 outdoor, a score of 50% is achieved, while a similar performance is observer for the rest datasets. However, the performance falls drastically when key-points' detection exceeds the amount of 500, as evidenced in KITTI course 05 and New College. This is mainly owed to the resulting size of the generated sub-maps which fail to be matched with the ones in the traversed trajectory.

Dataset	SeqSLAM	DOSeqSLAM	Tracking- DOSeqSLAM (100 points)	Tracking- DOSeqSLAM (300 points)	Tracking- DOSeqSLAM (500 points)	Tracking- DOSeqSLAM (700 points)
KITTI course 00	77.3	74.8	69.8	72.1	77.6	80.1
KITTI course 02	68.2	58.9	54.6	83.6	61.1	61.1
KITTI course 05	51.5	56.7	56.7	38.4	38.2	I
Lip 6 outdoor	21.2	22.5	36.8	39.8	40.9	40.9
Oxford City Centre	38.9	34.9	50.4	50.4	47.1	59.4
New College	29.3	16.8	39.9	40.0	40.0	16.3
Malaga parking 6L	21.5	23.3	37.2	38.4	42.0	38.1

per hig the maximum performance per evaluated dataset. As shown from the obtained results, Tracking-DOSeqSLAM outperforms the other methods. A 
 Table 4.2. Recall rates at 100% precision (R<sub>P100</sub>): a comparison of the proposed method against SeqSLAM and DOSeqSLAM. Bold values indicate
 eserves

order to reject early visited locations. For an easier understanding of the curves, the best results at 100% precision ( $R_{P100}$ ) are also given in Table 4.2. Our first remark is that the area under the curve of Tracking-DOSeqSLAM is higher than the corresponding curves for the other pipelines, outperforming them in most of the evaluated datasets. As can be observed, DOSeqSLAM can obtain similar recall at perfect precision as SeqSLAM, except for New College, where the result drops to a rate of 17%. According to our experiments, the proposed pipeline shows exceptionally high performance for Lip 6 outdoor, City Centre, and Malaga parking 6L, compared to the other solutions for each case of the extracted key-points. In addition, the maximum scores for the other datasets are also high, while a significant improvement is observed in KITTI course 02 for a total of 300 key-points, reaching a score of about 85% for perfect precision.



**Figure 4.4.** Sub-maps generated from the proposed method. Parameters are defined as presented in Table 4.1. Images exhibiting time and content proximity are labeled by the same color. From left to right, sub-maps are illustrated for KITTI [250] courses 00, 02, 05, Oxford City Centre [129], New College [254], and Malaga parking 6L [252]. 47, 52, 22, 151, 119, and 43 places are generated, respectively. As can be seen in most of the cases, the images are tagged with the same color when the robot traverses a route which presents similar visual content. This is especially highlighted in the KITTI image-sequences, where the camera measurements arrive from a forward moving car, in contract to City Centre's lateral camera orientation.

However, counter to most datasets, where the increased key-points' extraction improves the performance, evaluating the proposed method in KITTI course 05 and New College shows an instant drop in the recall rate. In the latter case, we observe a lower score, while in the former one, the proposed method is unable to recognize previously visited areas. This is because places generated under those conditions fail to match with the database due to their extreme size. By considering the results presented in Table 4.2, the parameter  $\nu$  is selected at 500 with the aim to ensure a system that achieves high recall scores for 100% precision. Fig. 4.4 shows the sub-maps formulated



**Figure 4.5.** Loop-closures detected by the proposed pipeline for each dataset. From left to right: KITTI [250] courses 00, 02, 05, Oxford City Centre [129], New College [254], and Malaga parking 6L [252]. Red dots indicate that the system closes a loop with another image in the database.

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**Figure 4.6.** An illustrative example of our place generation technique based on point tracking. The respective camera poses corresponding to the same group of images are marked in magenta. A set of key-points extracted via speeded-up robust features (SURF) [86] is detected in the first location of a newly formulated place (image 3547) and subsequently tracked along the trajectory. At time t (image 3583), the incoming visual sensory stream  $I_{(t-n)}, ..., I_{(2)}, I_{(1)}, I_{(t)}$ , is finalized as a new place since all the initial points cease to exist from the tracker.



**Figure 4.7.** Some example images that are correctly recognized by our pipeline as loop-closure events. The query frame is the image recorded by the vehicle at time t, whereas the matched image frame is the corresponding one identified among the members of the chosen place. From left to right: Lip 6 outdoor [140], New College [254], Oxford City Centre [129], and KITTI course 02 [250].

by Tracking-DOSeqSLAM for each dataset, while Fig. 4.5 presents the detected loops. A random color has been assigned to highlight a different place across the traversed trajectory for each sub-map. Thus, every location associated with the same place is labeled by the same color. An example containing images from the same group-of-images defined by our algorithm's point tracking is illustrated in Fig. 4.6. Evidently, as soon as the robot turns to a visually consistent route, the corresponding images that exhibit time and content proximity are aggregated in the same group. Finally, in Fig. 4.7, some accurately detected locations using the selected parameterization are shown.

## 4.2.4 System's response

**Table 4.3.** Processing time per image (ms/query) of Tracking-DOSeqSLAM, DOSeqSLAM, and SeqSLAM, for KITTI course 00 [250]. It is notable that the proposed pipeline requires less time due to its efficient matching process, which is based on the image aggregation from the generated places.

			Average time (n	ns)
		SeqSLAM	DOSeqSLAM	Tracking- DOSeqSLAM
Feature	Key-point detection	_	42.67	0.01
extraction	Key-point description	_	27.96	_
	Resize	2.43	2.43	2.43
	Patch normalization	5.77	5.77	5.77
Environment	Key-point tracking	_	_	4.27
representation	Feature matching	_	6.75	_
Decision	Comparison (SAD)	42.90	42.90	42.90
making	Matching	67.66	64.18	0.71
Total pipeline		118.76	192.66	56.20

To analyze the computational complexity of the proposed method, we ran each framework, i.e., SeqSLAM, DOSeqSLAM, and Tracking-DOSeqSLAM, on KITTI course 00, which is the longest among the evaluated ones exhibiting a remarkable amount of loop-closures. In Table 4.3, an extensive assessment of the corresponding response time per image is presented. The detection and description of SURF key-points, the image resize, and the patch normalization constitute the *feature extraction* process presented every evaluated method. Key-point tracking corresponds to the time needed by the KLT tracker, while feature matching the time for DOSeqSLAM to segment the incoming visual stream. The *decision making* module is the time needed for the images' comparison through SAD. Lastly, matching denotes the timing for each method to search for similar places in the database. The results show that the proposed system can reliably detect loops, while maintaining very low execution times. It is observed that every involved step is notably fast except for the comparison process which exhibits the highest execution owed to the utilized metric technique. The time for key-point extraction is negligible as we search for new elements at the beginning of a new place, while the timing for point tracking is also low.

#### 4.2.5 Comparative results

This section compares the proposed pipeline against other algorithms concerning the complexity and performance. Aiming to exhibit the low-complexity of the proposed

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**Table 4.4.** In depth comparison with the baseline versions of the proposed method. As can be observed from the average computational times (T) and number of generated places, Tracking-DOSeqSLAM achieves substantially lower timings for each evaluated case, outperforming the rest solutions.

	SeqS	LAM	DOSec	JSLAM	Tracking-	DOSeqSLAM
Dataset	Places	T(ms)	Places	T(ms)	Places	T(ms)
KITTI course 00	4554	118.76	155	192.66	47	56.20
KITTI course 02	4661	123.04	378	209.02	52	58.99
KITTI course 05	2761	58.62	192	132.90	22	38.66
Lip 6 Outdoor	1063	22.13	177	37.56	51	20.87
Oxford City Centre	1237	26.96	211	71.41	151	25.47
New College	2624	55.51	323	94.70	119	36.28
Malaga parking 6L	3474	81.73	162	186.22	43	48.97

system, we present in Table 4.4 the final amount of generated places and the average processing time for Tracking-DOSeqSLAM and the baseline versions, viz., DOSeqS-LAM and SeqSLAM. In this regard, we show that the proposed modifications result in a improvement in terms of processing time and computational complexity. Since the proposed method adopts the same *feature extraction* module, e.g., image downsample, and *decision making* process, e.g., SAD comparisons, the computational complexity mainly depends on the number of constructed places. As highlighted in Table 4.4, our system achieves the generation of an amount of places at least one order of magnitude less than SeqSLAM, while a significant decrease is also presented against DOSeqSLAM. This results to notably fast associations between similar sub-maps permitting the proposed framework to process in lessen time in contrast to the other versions, while presenting high recall scores for perfect precision as evident in most of the tested datasets.

Furthermore, with the aim to help the reader to identify the contribution of the proposed pipeline, as well as for the sake of completeness, in Table 4.5, we show the results against the two modern approaches presented in Chapter 3. Albeit the proposed system achieves high recall rates in every tested dataset, the difficulty to present higher scores against the methods which utilize more sophisticated *feature extraction* modules for the locations' representation is evident. This is owed to the inability of SAD to quantify the obtained image visual properties. However, our main purpose is to demonstrate the achieved performance gain, over the baseline versions, through a refined trajectory segmentation, while operating with the lowest possible complexity and avoiding any training procedure. Thus, a direct comparison of Tracking-DOSeqSLAM with the rest of the approaches is not informative; it is only included here as a performance indicator to better interpret the possible improvement margins. On the support of thereof, Table 4.5 compares the average execution time of each method on

	iBoW	/-LCD	iGNGn	nap-LCD	Tracking	-DOSeqSLAM
Dataset	R(%)	T(ms)	R(%)	T(ms)	R(%)	T(ms)
KITTI course 00	76.5	400.2	93.1	527.6	77.6	56.20
KITTI course 02	72.2	422.3	76.0	553.3	61.1	58.99
KITTI course 05	53.0	366.5	94.2	402.8	38.2	38.66
Lip 6 outdoor	85.2	228.0	12.0	198.5	40.9	20.87
City Centre	88.2	336.2	16.3	232.7	47.1	25.47
New College	73.1	383.7	88.0	302.1	40.0	36.28
Malaga parking 6L	57.4	440.8	87.9	553.6	42.0	48.97

**Table 4.5.** Performance comparison with other appearance-based place recognition methods.Recall scores for 100% precision and average computational times are given.

the representative datasets. It is noteworthy that the proposed pipeline can achieve the lowest timings in every tested image-sequence reaching one order of magnitude lower times against the other solutions.

As our our interest lies in developing a low-complexity and effectiveness appearancebased place recognition framework that identifies loop-closures, in this chapter we propose an incremental BoW method based on feature tracking, that is, the Bag of Tracked Words (BoTW). Exploiting the advantages presented in the previous chapters, the KLT point tracker is utilized to formulate such a vocabulary, accompanied by a guided feature selection technique. Each point whose track ceases to exist is transformed into a visual word, viz., tracked word, used to describe every key-point element contributing to its formulation. The query's tracked descriptors seek for the nearest neighboring words into the vocabulary to detect loop-closures, distributing votes across the traversed path. Each voted location is assigned with a similarity score through a binomial probability density function, which is meant to indicate candidate matches.

In addition, we further introduce modeling mechanisms that significantly improve our framework's memory usage and computational complexity. The unchecked generation of new elements in incremental vocabulary methods affects the systems' performance since these new entries reduce their distinctive ability, especially in cases where the robot traverses pre-visited locations. To this end, the proposed method applies a map management scheme that restricts similar words during the vocabulary construction to address such a deficiency. Moreover, loop-closure detection is a task submitting to a temporal order of the visited areas along the navigation route. If a location is identified as previously visited, then it is highly probable that the following ones have also gone through. This property is explored in the proposed approach by employing a Bayes filter, accompanied by a temporal consistency constraint, over the probabilistic scores produced through the binomial probability density function. We specifically exploit the temporal information of the incoming visual stream to decide about the appropriate belief state. This way, an improvement in recall rate is achieved since locations within a known area are not excluded even if they present a lower similarity than the defined threshold. Lastly, a geometrical verification step is performed over the most similar

candidates. The proposed method is tested on nine different environments in a broad set of conditions and compared against various methods. In the following section, our approach's implementation blocks are discussed in detail, while its evaluation is presented in Section 5.2.

## 5.1 Methodology

The workflow to carry out the place recognition task is comprised of two parts: i) the bag of tracked words (BoTW), and ii) the probabilistic loop-closure detection. Regarding the first, it includes the components needed for the database generation, while the second part the ones for searching and recognizing previously visited locations. The following sections describe the individual parts of the algorithm in detail.

## 5.1.1 Bag of tracked words

Similar to the approaches mentioned in the previous chapters, the proposed one does not require any training process or environment-specific parameter tuning since the map is built on-line in the course of the robot's navigation. As the construction of the vocabulary plays the primary role in the proposed appearance-based loop-closure detection pipeline, it has to be as discriminable and detailed as possible. Our trajectory mapping is based on the observation that the traversed path is associated with unique visual words generated incrementally. On the contrary, through the BoTW scheme, each codeword is initiated by a local key-point tracked along the trajectory in consecutive camera frames. An algorithm with scale- and rotation-invariant properties has been adopted to obtain a robust and accurate description against image deformations. Overall, the map representation during the robot's navigation consists of four individual parts: i) feature tracking, ii) guided feature selection, iii) tracked word generation, and iv) merging words.

## 5.1.1.1 Feature tracking

We have chosen to map the trajectory, through a tracker based on SURF. Each extracted element has a detection response that quantifies its distinctiveness among the rest of the image's content. This property is used to select the most prominent local key-points in the image. Thus, intending to promote computational efficiency, we limit the number of features to be used to the  $\nu$  most prominent. Those key-points  $(P_{t-1} = \{p_{t-1}^1, p_{t-1}^2, ..., p_{t-1}^{\nu}\})$  from the previous image  $I_{t-1}$ , along with the current camera frame  $I_t$ , are utilized within a KLT point tracker, to obtain their projected location, which we refer to as tracked points  $(TP_t = \{tp_t^1, tp_t^2, ..., tp_t^{\nu}\})$ . Additionally, we retain the corresponding set of description vectors  $(D_{t-1} = \{d_{t-1}^1, d_{t-1}^2, ..., d_{t-1}^{\nu}\})$  that are meant to be matched with the corresponding ones  $(D_t)$  in  $I_t$ .

#### 5.1.1.2 Guided feature selection



**Figure 5.1.** Guided feature selection over the points being tracked. The Kanade-Lucas-Tomasi [257] tracker estimates the expected coordinates for each of the Tracked Points  $(TP_t = \{tp_t^1, tp_t^2, ..., tp_t^{\nu}\})$ , originated from the previous image  $I_{t-1}$ , to the current one  $I_t$ , (the green and red crosses (+), respectively). Their nearest-neighboring points  $p_t^{NN} \in P_t$ , detected via speeded-up robust features [86], are evaluated as per their points' coordinates and descriptors distance for the proper feature selection using equations 5.1 and 5.2.

Although KLT is sufficiently effective in estimating a detected point's flow between successive frames (e.g.,  $I_{t-1}$  and  $I_t$ ), accumulative errors within the entire imagesequence may drift the tracked points. Points have to be periodically redetermined to track features over a long period. Having apprehended these challenges, we adopt a guided feature selection technique [261] (Fig. 5.1) that, along with the KLT's flow estimation, also detects new SURF key-points ( $P_t = \{p_t^1, p_t^2, ..., p_t^{\mu}\}$ ) and computes the corresponding description vectors ( $D_t = \{d_t^1, d_t^2, ..., d_t^{\mu}\}$ ) from the most recent frame  $I_t$ . Note that we retain only the  $\mu$  most prominent detected feature points with a response higher than  $\Phi$ , to reduce computational complexity further. A k-NN (k = 1) search is performed between the tracked points' coordinate space (TP<sub>t</sub>) detected in image  $I_t$ and the ones in  $P_t$ . Thus, for each tracked point  $tp_t^i$ , the nearest  $p_t^{NN} \in P_t$  is accepted as a proper extension-member of the track, providing that the following conditions are satisfied:

- the Euclidean distance between  $tp_t^i$  and its corresponding  $p_t^{NN}$  is lower than  $\alpha$ :

$$\ell_2(\mathsf{tp}_t^i, \mathsf{p}_t^{\mathsf{NN}}) < \alpha, \tag{5.1}$$

- the Euclidean distance between its descriptor  $d_t^{NN}$  and the  $d_{t-1}^i$ , corresponding to  $p_{t-1}^i$  in the previous image  $I_{t-1}$ , is lower than  $\beta$ :

$$\ell_2(\mathbf{d}_{t-1}^i, \mathbf{d}_t^{\mathsf{NN}}) < \beta. \tag{5.2}$$

If at least one of the above conditions is not met, the corresponding track point ceases to exist, and it is replaced by a new one detected in  $I_t$ , ensuring a constant number of  $\nu$  TP<sub>t</sub> members. Similarly, aiming to preserve a constant set of points during the robot's navigation, when a tracked feature is discontinued (regardless of whether it forms a tracked word or not), it is replaced by a new one, fished out from  $I_t$ . This way, the computationally costly brute force local features' matching as tracking scheme is avoided, while a robust trajectory mapping is achieved.

#### 5.1.1.3 Tracked word generation

The next step of the BoTW procedure is the descriptors' merging, which, in turn leads to the formulation of the visual codewords. When the tracking of a certain point terminates, its total length  $\tau$ , measured in consecutive image frames, determines whether a new word should be created ( $\tau > \rho$ ). Describing part of the environment, the representative tracked word is computed as the median of the tracked descriptors:

$$\mathbf{TW}[i] = \mathrm{median}(\mathbf{d}_1[i], \mathbf{d}_2[i], \dots, \mathbf{d}_j[i]),$$
(5.3)

where  $d_j[i]$  denotes the element in the *i*-th (SURF:  $i \in [1, 64]$ ) dimension of the *j*-th  $(j \in [1, \tau])$  description vector. Note that, we refer to the tracked word set as a visual vocabulary since each codeword is created through an average representation, which is also the norm for a typical BoW representation. In general, new codewords are generated through averaging the corresponding descriptors, yet in the proposed approach, the median is selected since it provides better performance with lower computational cost as evidenced by the experimental evaluation in Section 5.2. Finally, an indexing list *Idx* is retained that includes the locations upon which each word is tracked in the trajectory.

#### 5.1.1.4 Merging words

Finally, to provide a discriminative visual vocabulary, we avoid adding new visual elements into the vocabulary without comparing their similarity to the database. In the proposed system, an additional preliminary step is incorporated. For each newly generated element, a one-vs-all scheme computes the pairwise distances against the database's ones. Subsequently, the *nearest-neighbor distance ratio* [112] is applied, indicating two visual elements as similar when a distance ratio value lower than 0.5 is satisfied. The tracked descriptors of the newly created element and the vocabulary's chosen word are merged based on equation 5.3, and the new codeword is ignored. However, in Section 5.1.2.6, we further propose a vocabulary management scheme in which visual words corresponding to already visited locations are discarded, resulting in an overall reduced memory footprint.

## 5.1.2 Probabilistic loop-closure detection pipeline

In this section, our probabilistic framework for the identification of loops within BoTW-LCD is presented. The voting procedure is being described as the first step of the proposed on-line pipeline. Subsequently, we show how the locations are assigned with a probabilistic score through the binomial probability density function, while the derivation of the Bayes filtering scheme used for the estimation of the loop-closure state is also detailed. Finally, we focus on the additional implementation details we adopted for incorporating geometrical verification and visual vocabulary management.

#### 5.1.2.1 Searching the database

With the aim to perform reliable searching during query, the newly acquired frame  $I_Q$  should not share any common features with recently visited locations. To prevent our pipeline from detecting cases of early visited locations, we consider a temporal window w, which rejects locations visited just earlier  $(I_{Q-1}, I_{Q-2}, ..., I_{Q-w})$ . We define this window as w = t - 4c, where c corresponds to the length of the longest active point track, as indicated by the retained  $\tau$  values. In this way, it is guaranteed that  $I_Q$  will not share any visual information with the recently created database entries, while at the same time, we avoid the use of a fixed timing threshold that is typically selected by environment-specific experimentation.

Due to the lack of global descriptors for image representation, the proposed appearance-based framework adopts a probabilistic voting scheme to infer previously visited locations. At query time, the most recent incoming sensory data  $I_Q$  directly distributes its descriptors –formulated by guided feature selection– to the database via a k-NN (k=1) search among the available database tracked words in a brute force manner. In order to accelerate the matching process, many approaches build a k-d tree [262]. While offering an increased computational performance when applied to a low dimensional descriptor space, the tree is unsuitable for on-line developed vocabularies. This is owed to possible unbalanced branches and the addition of new descriptors after the tree construction, impairing the performance [124]. Moreover, during on-line navigation, the complexity concerning the tree building will eventually prevent real-time processing, especially in large-scale environments containing thousands of images [263]. Besides, our descriptor has a 64-dimensional feature vector, and the k-d tree is unable to provide speedup over the exhaustive search for more than about 10-dimensional spaces [112]. A valid alternative for high dimensional descriptors, as well as for larger vocabularies, is the inverted multi-index file system [264]. This technique is multiple times faster compared to a k-d tree while offering similar performance. However, it needs to be trained beforehand, impractical for incremental approaches within a SLAM framework. Aiming to improve the descriptor matching speed, an incremental feature-based tree is proposed by [265], which is still incompatible with our framework due to its boolean

structure. Even though the unavailability of indexing approaches, our work (see Section 5.1.2.6) aims to map the environment efficiently. Therefore, we focus on the significant reduction of the vocabulary's size, as well as the rate of its increment, reaching a foot-print of one order of magnitude shorter than other state-of-the-art techniques. As our results in Section 5.2.3 suggest, using such a small vocabulary renders the complexity of an exhaustive search inferior to the overhead of retaining a dynamic indexing file system.

#### BAG OF TRACKED WORDS Tracked Word Tracked Word Tracked Word Votes Tracked Word ) Tracked Word Tracked Word Tracked Word Tracked Word Indexes Locations L শ Expected value Image's tracked descriptors search for the nearest neighboring visual elements Probability Binomial Density Function th 0 Locations' number of votes $x_I(t)$ Query location

#### 5.1.2.2 Navigation using probabilistic scoring

**Figure 5.2.** Probabilistic appearance-based loop-closure detection. During a query event, the most recently obtained image directly distributes its descriptors, formulated by guided feature selection, to the bag of tracked words list via a greedy nearest-neighbor search. Votes are assigned to the map L, whilst a vote counter for each location  $l \in L$  increases relatively to the contributing words (colored cubes). Finally, candidate locations are indicated via a binomial density function according to their vote density  $x_l(t)$ . Highlighted with red, instances of votes' count correspond to locations that are intended for a geometrical check since they satisfy the rareness limit th of a loop-closure, while also exceeding the expected vote aggregation value.

During the matching process among the query features from  $I_Q$  and the vocabulary, votes are distributed into the map L under the tracked words' indexing list Idx, as depicted in Fig. 5.2. A database vote counter  $x_l(t)$  for each traversed location  $l \in [1, t - 4c]$  increases in agreement with the associated words. To avoid the simplified approach of thresholding the accumulated number of votes, a binomial probability density function is adopted to assign a score over each location based on the votes' density:

$$X_l(t) \sim Bin(n, p), n = N(t), p = \frac{\lambda_l}{\Lambda(t)},$$
(5.4)

where  $X_l(t)$  represents the random variable regarding the number of accumulated votes of each database location l at time t, N denotes the multitude of query's tracked words (the cardinality of TP<sub>Q</sub> after the guided feature selection),  $\lambda$  is the number of visual elements included in l (the cardinality of TW<sub>l</sub>) and  $\Lambda(t)$  corresponds to the size of the generated BoTW list until t (excluding the locations inside the window w). The binomial expected value of a location l has to satisfy a loop-closure threshold th, so as to be accepted:

$$Pr(X_l(t) = x_l(t)) 
(5.5)$$

where  $x_l(t)$  corresponds to the respective location's aggregated votes. However, to avoid cases where a location accumulates unexpectedly few votes due to extreme dissimilarities, the following condition should also hold:

$$x_l(t) > E[X_l(t)].$$
 (5.6)

Conditions 5.5 and 5.6 of binomial probability density function are depicted in Fig. 5.2 through the light green and light orange areas, respectively. In addition, with the aim to avoid the redundant computation of probabilistic scores for each traversed location (e.g., for completely unvoted entries), we propose to compute the binomial-based score only for locations gathering more than 1% of the votes distributed by the tracked descriptors.

#### 5.1.2.3 Location estimation via recursive Bayes rule

The heuristic temporal consistency check proposed in chapter 3, wherein a location is accepted as a loop-closure event when the system meets specific conditions for a certain sequence of consecutive measurements presents the disadvantage that many loop hypothesis belonging at the starting location of a pre-visited area are ignored until the temporal check is satisfied. With a view to tackle this drawback, we take advantage of the temporally consistent acquisition of images within the loop-closure task and adopt a Bayesian scheme. Even though this approach can be considered to be a standard practice in the field [95, 145, 149, 266] our system differs in the aspect that we chose to apply a simple temporal model which maintains the decision factor between consecutive observations, rather than to compute a probability score for each database entry. The discrete Bayes filter allows us to deal with noisy measurements and ensures temporal coherency between consecutive predictions, integrating past estimations over time. Despite the presence of the Bayesian filter, locations captured in a sequence of loop-closing images are processed for further evaluation without being affected by their binomial-based score.

A proper filtering algorithm needs to maintain only the past state's estimates and updating them, rather than going back over the entire history of observations for each update. In other words, given the filtering result up to time t - 1, the agent needs to compute the posterior (filtering) distribution  $p(S_t \mid O_t)$  for t using the new observation

 $O_t$ . Let  $S_t = \langle No \ Loop, Loop \rangle$  be the state variable representing the event that  $I_t$  closes a loop, while  $O_t$  is the binomial response  $Pr(X_l(t) = x_l(t))$  between  $I_Q$  and the database. Following the Bayes' rule and under the Markov assumption, the posterior can be decomposed into:

$$p(S_t|O_t) = \eta \underbrace{p(O_t|S_t)}_{\text{Observation}} \underbrace{\sum_{S_{t-1}} \underbrace{p(S_t|S_{t-1})}_{\text{Transition}} p(S_{t-1}|O_{t-1})}_{\text{Belief}},$$
(5.7)

where  $\eta$  is a normalization constant. The recursive estimation is being composed by two parts: firstly, the current state distribution is projected forward (prediction) from t-1 to t; then, it is updated using the new evidence  $O_t$ .

**Prediction.** Between t - 1 and t, the posterior is updated according to the robot's motion through the transition model  $p(S_t|S_{t-1})$ , which is used to predict the distribution of  $S_t$  given each state of  $S_{t-1}$ . The combination of the above with the recursive part of the filter  $p(S_{t-1}|O_{t-1})$  comprises the belief of the next event. Depending on the respective values of  $S_t$  and  $S_{t-1}$ , this probability is set with one of the following values, which are further discussed in Section 5.2.1:

- $p(S_t = No \ Loop \mid S_{t-1} = No \ Loop) = 0.975$ , the probability that no loop-closure event occurs at time t is high, given that no loop-closure occurred at time t 1.
- $p(S_t = Loop \mid S_{t-1} = No \ Loop) = 0.025$ , the probability of a loop-closure event at time t is low, given that no loop-closure occurred at t 1.
- $p(S_t = No \ Loop \mid S_{t-1} = Loop) = 0.025$ , the probability of the event "No Loop" at time t is low, given that a loop-closure occurred at time t 1.
- $p(S_t = Loop \mid S_{t-1} = Loop) = 0.975$ , the probability that a loop-closure event occurs at time t is high, given that a loop also occurred at time t 1.

**Bayes Update.** The sensor model  $p(O_t|S_t)$  is evaluated using the locations' binomial probability score. Aiming to categorize the generated binomial scores into filter observations, the value range is split into two parts based on the probability threshold *th*:

$$p(O_t | S_t = No \ Loop) = \begin{cases} 1.00, \text{ if } O_t > th, \, \forall l \in L. \\ 0.00, \text{ if } O_t < th, \, \exists l \in L. \end{cases}$$
(5.8)

$$p(O_t | S_t = Loop) = \begin{cases} 0.46, \text{ if } O_t > th, \, \forall l \in L. \\ 0.54, \text{ if } O_t < th, \, \exists l \in L. \end{cases}$$
(5.9)

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**Figure 5.3.** State machine representation of the proposed Hidden Markov Model (HMM) for loop-closure detection. Observations  $(O_{t-1}, O_t)$  are based on the system's binomial response  $Pr(X_l(t) = x_l(t))$  among the database locations after the voting process. The light green observation indicates the existence of locations l which satisfy the binomial function's conditions ( $\exists l \in L : O_t < th$ ), while the light orange examples correspond to the ones that do not ( $\forall l \in L : O_t > th$ ).

As shown, our observation model seeks into the set of locations L for the existence of database entries l which satisfy the binomial conditions. Notably, the system's initialization probabilities are set to a no loop-closure belief  $p(S_0) = \langle 1, 0 \rangle$ , which derives from our confidence that such detection cannot occur at the beginning of any trajectory. The proposed model is summarized in the diagram in Fig. 5.3, while a discussion regarding the selected probability values is offered in Section 5.2.1.

#### 5.1.2.4 A new or an old location?

Posterior, in the probabilistic context, means "after taking into account the relevant observation related to the examined cases". After  $p(S_t|O_t)$  has been updated and normalized, the highest hypothesis is accepted as full posterior, that is, if the loop-closure hypothesis  $p(S_t = No \ Loop \mid O_t)$  is higher than 50%, the system adds a new location to the database, otherwise a "Loop" is detected.

## 5.1.2.5 Location matching

Since the votes' distribution affects a group of consecutive images, the 10 most similar candidate loop-closing locations are considered among the database entries that satisfy the conditions in Section 5.1.2.2. In addition, when the perceived query camera measurement performs a loop in the trajectory, while none of the database observation scores satisfy the aforementioned conditions  $(O_t > th, \forall l \in L)$ , a temporal consistency constraint is adopted so as to determine the candidates images. In order to cope with possible false positive detections, owed to potential perceptual aliasing in the environment (e.g., when different places contain similar visual cues), the selected camera frames are subjected to a geometrical check. In such a way, image pairs that cannot be correlated by a transformation matrix are rejected independently from their visual similarity. An image-to-image correlation is performed between the query  $I_Q$  and the accepted candidates. Computations are executed until a valid matrix is estimated through an ascending binomial score order.

**Temporal Consistency.** Let us consider that at time t-1, the system correctly indicates a previously visited location by matching pair  $\langle I_{Q-1}, I_{M-1} \rangle$  and that at time t, the filter also indicates a loop; however, none of the locations satisfy the binomial threshold  $(O_t > th, \forall l \in L)$ . The temporal constrain defines a group of images, which are the only set of database entries to be further examined as loop-closures. We determine this window as of size  $2\kappa + 1$  centered around  $I_M - 1$ , i.e.,  $[I_{(M-1)-\kappa}, ..., I_{(M-1)+\kappa}]$ . Nevertheless, locations which are not assigned with a binomial score are excluded.

**Geometrical Verification.** A fundamental matrix is estimated, through a RANSACbased scheme, which is required to be supported by at least  $\phi$  point inliers between the query  $I_Q$  and the matched image  $I_M$ . To compute these correspondences, tracked features are compared with the descriptors from the chosen location. A set of SURF descriptors are extracted, the cardinality of which is twice as big as the ones of each frame's TP, thus, offering an efficient balance between accuracy and computational complexity.

## 5.1.2.6 Visual vocabulary management

The goal of this process is to effectively handle the increasing rate of the vocabulary, which, until this stage, adds new elements no matter if a similar entry already exists. The objective is to remove multiple codewords of repetitive pattern representing the same environmental element at different time-stamps. On top of the database size and computational complexity reduction, this unmonitored development also results in a voting ambiguity. This issue is mostly evident when the agent revisits a certain route

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**Figure 5.4.** The process of vocabulary management. As the trajectory escalates  $(..., I_{t-3}, I_{t-2}, I_{t-1}, I_t)$  along with voting procedure, a reference list regarding the tracked descriptors (block of crosses) and their nearest-neighboring tracked words (block of squares) is maintained. When the query location  $I_t$  is identified as a loop-closure, the most recently generated tracked words are checked with the most reported ones indicated via the reference list, in order to decide if they should be accumulated into the existing vocabulary.

## Algorithm 3 Vocabulary management.

**Input:**  $I_Q$ : Incoming image,  $I_M$ : Matched image, Idx: Location indexing list,  $W_n$ : Newly generated tracked word,  $d_n$ : Newly generated tracked word's descriptors, RL: Reference list Output: Idx: Updated location indexing list, BoTW: Visual vocabulary for each newly generated tracked word  $W_n$  do  $id = find(max(RL, W_n))$  // select the most voted word in database based on the  $W_n$  descriptors' polling history  $dist = norm(W_n - W_{id}) // euclidean distance$  $member = Idx(id, I_M) // matched image contains most voted word$ if *dist* < 0.4 and member == true then  $W_{id}$  = median( $W_{id}$ ,  $d_n$ ) // refresh the word's description Idx = update(Idx) // refresh the location indexing list based on the generatedword's map position else  $BoTW = add(W_n) // add$  newly generated word since it doesn't exists in dictionary end

more than twice, in which case the query image will distribute votes from the same physical location to multiple ones, decreasing the system's discriminability.

Thus, during navigation, we create a reference list based on the matching process, which indicates tracked words being voted by the query descriptors. When a loopclosure is detected, each newly generated word is checked for a descriptors-to-word correspondence, to determine if the new element needs to be further processed or not. For each sequence of tracked descriptors, the most voted word in the database is indicated. Then, a similarity comparison based on equation 5.2 is applied on the chosen words' pair (newly generated, corresponding most voted), in which tracked words are considered to be similar if their distance is lower than 0.4. However, despite this check, the corresponding vocabulary's entry needs to satisfy a location condition check, meaning that the selected word is ignored if it is not associated with the chosen loop-closing image. Subsequently, tracked descriptors of the generated word and the one existing in the database are merged according to equation 5.3. Finally, the vocabulary's indexing list *Idx*, regarding the tracked word's locations, is updated to include the images corresponding to the merged word. A representative example is depicted in Fig. 5.4, while Algorithm 3 details this process.

## 5.2 Experimental results

Parameter	Symbol	Value
SURF point response	$\Phi$	400.0
Maximum # of Tracked Points	ν	150
Minimum points' distance	$\alpha$	5
Minimum descriptors' distance	$\beta$	0.6
Minimum tracked word's length	ρ	5
Minimun RANSAC inliers	$\phi$	8
Temporal consistency	$\kappa$	8

 Table 5.1. Parameters utilized from the proposed single-based mapping pipeline.

This section presents the experimental methodology followed to evaluate the proposed framework through an extensive set of tests on several datasets using the precisionrecall metrics. Since the proposed pipeline needs to be adaptable to a variety of different environments, the nine image-sequences discussed in chapter 2 are selected for our experimental protocol. Among them, aiming to adjust the parameters of the algorithm, three are used for our evaluation. More specifically, the KITTI courses 00 and 05 are the ones selected for evaluating the vocabulary's evolution size as they provide long trajectories wherein loops are frequently presented. The third evaluation dataset is the Lip 6 outdoor. An important characteristic of this set is the fact that the camera visits some of the recorded locations more than twice, making it ideal for the assessment of the proposed vocabulary management mechanism. The performance of the presented approach is compared against the aforementioned methods, as well as the existing state-of-the-art techniques which are based on an incrementally generated visual vocabulary and a pre-trained one. BoTW-LCD is configured by using the parameters summarized in Table 5.1, which are extensively evaluated in Section 5.2.2.

## 5.2.1 Parameters' discussion

In this subsection, we briefly discuss the temporal parameters. In general, the performance of BoTW-LCD relies on the transition  $p(S_t|S_{t-1})$  and observation  $p(O_t|S_t)$ probabilities (see Section 5.1.2.3). The framework in which we work is quite simple, including two states for the transition model and the observation. The transition probabilities follow the loop-closure principle which indicates that a belief state would follow its previous one. Thus, their values are appropriately attributed to almost 98%in both cases (see Section 5.1.2.3). The observation model is the one which plays the primary role in shaping the final decision. Aiming to highlight the probabilities produced by the binomial density function, we have chosen a high level of confidence  $p(O_t|S_t = No \ Loop) = 0.00$  when the loop-closure threshold is satisfied (equation 5.8) since its efficiency in identifying pre-visited locations has been well-established in Chapter 3. On the contrary, to avoid losing a possible detection in a sequence of loop events, due to the lack of satisfying the condition if  $O_t > th$ ,  $\forall l \in L$ , its probability is defined at 46% (equation 5.9), allowing the system to correct its belief in the following observations while maintaining its high performance. These parameters are estimated empirically while a level of confidence about their values is attributed through the Hidden Markov Model (HMM) estimation algorithm proposed by [267]. All our experiments were performed under the same set of probability filtering values.

## 5.2.2 Performance evaluation

We illustrate the precision-recall rates for different cases of maximum retained tracked features ( $\nu = 100, 150, 200$ ). In addition, we assess the tracked words' minimum allowed length ( $\rho = \{5, 8, 10, 12\}$ ), their merging approach (mean, median), the description method accuracy (SURF - 64D, SURF - 128D), as well as the vocabulary management for the achieved performance.

## 5.2.2.1 A modest vocabulary loop-closure detection

Aiming to evaluate the minimum required length for a tracked word generation, in Fig. 5.5 we present the precision-recall curves for a baseline version of the proposed system. In particular, we avoid the utilization of the proposed vocabulary management technique and the Bayes filter, while the 64D version of SURF is employed as *feature* 



**Figure 5.5.** Precision-recall curves evaluating the minimum required length for a tracked word generation. Tests are performed on the KITTI courses [250], 00 (top), 05 (middle) and Lip 6 outdoor [140] (bottom) for the baseline version of the proposed method. As the number of Tracked Points (TP) grows, the performance is increased (recall rates for 100% precision) until it settles in the cases of 200 and 250. On the contrary, as the minimum allowed TW-length increases the system's performance constantly decreases.

*extraction* module and the mean for the tracked words' generation. As observed, the recall rate ( $R_{P100}$ ) increases with the number of tracked points, reaching more than 90% in KITTI courses and almost 70% in Lip 6 outdoor. However, in Lip 6 outdoor, where the acquisition rate is too low (1Hz), counter to the points' quantity the performance decreases as the tracked words' length gets longer, intensively reveling the effect of the lengthiness of tracked words. This is owed to the fact that points which appear for a short time-period in the trajectory are discarded from the BoTW reducing the potential of a richer vocabulary and a more accurate voting procedure.

Subsequently, we keep deactivated the temporal filter and the geometrical verification check in order to evaluate the proposed visual vocabulary management technique for each of the aforementioned cases in Fig. 5.6. Our first remark is that each of the



**Figure 5.6.** Precision-recall curves evaluating the number of maximum tracked features  $\nu$  against the baseline approach and the proposed one using the vocabulary management technique. For each version, the tracked word generation method is presented along with the different descriptor version (64 & 128 dimension space of speeded-up robust features (SURF) [86]). Experiments are performed on the KITTI courses [250], 00 (top), 05 (middle) and Lip 6 Outdoor [140] (bottom). The proposed system seems to offer higher discrimination at voting procedure permitting similar recall rates for 100% precision between the cases of 150 and 200. The 128D SURF descriptors exhibit their robustness when a lower amount of tracked features is used as depicted in every of the evaluated dataset for the case of 100 tracks.

		Baseline (64D)	Proposed (64D)	Baseline (128D)	Proposed (128D)
Dataset	Tracked Points	Description method Mean / Median			
KITTI course 00	100	25603 / 25603	22930 / 22898	23577 / 23577	21092 / 22160
KITTI course 00	150	38170 / 38170	34196 / 34170	34951 / 34951	31514/31722
KITTI course 00	200	50510/50510	45741 / 45731	45976 / 45976	41669 / 41989
KITTI course 05	100	14853 / 14853	13432 / 13431	13832 / 13832	12448 / 12508
KITTI course 05	150	22199 / 22199	20135 / 20100	20515 / 20515	18548 / 18642
KITTI course 05	200	29391 / 29391	26728 / 26659	27009 / 27009	24516 / 24687
Lip 6 outdoor	100	4206 / 4206	3717 / 3724	3145/3145	2748 / 2788
Lip 6 outdoor	150	5776 / 5776	5066 / 5085	4309 / 4309	3768 / 3803
Lip 6 outdoor	200	6956 / 6956	6138 / 6236	5145/5145	4499 / 4576

 Table 5.2. Total of generated tracked words for each evaluated dataset.

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produced curves presents high recall rates on the evaluation datasets. As one can observe, the system offers a very competent performance for 150 and 200 tracked features, approaching 95% recall in both KITTI courses, while keeping perfect precision. We observe that the median achieves similar performance to the mean-based. Furthermore, the 128D version of SURF shows higher recall rates for a lower number of tracked features, exhibiting its description accuracy for both the mean and median merging methods. In Lip 6 outdoor, which is evidently the most challenging image-sequence due to its low acquisition frame rate, visual resolution and rapid viewpoint variations, the recall extends to almost 90%, whilst maintaining high precision scores. It is notable that the recall curves corresponding to the proposed method, which incorporates vocabulary management, performs better in this dataset. This is owed to the fact that the specific image stream records the same route more than twice and the voting ambiguity originated from the arbitrary generation of new words is avoided.

In support thereof, we present a quantitative evaluation of the generated words in Table 5.2. Since our management technique is affected by the system's performance to detect loop-closures, the recorded number of words is obtained for the highest recall rate at 100% precision. A words' reduction of about 10% is observed for each case ( $\nu = 100, 150, 200$ ) for both merging methods and descriptors dimensions. In addition, more words are ignored as the number of tracked features increases, indicating that a higher number of elements are generated and remain in the database affecting the system's discrimination capabilities. Regarding the mean and the median versions, the results show a similar output with small fluctuations. Finally, although the description accuracy for the 128D version of SURF offers a lower amount of tracked words, we argue that this fact is not decisive for our approach since its memory footprint would be double the size of the 64D one.

#### 5.2.2.2 Bayesian filtering

We now we present the evaluation of the Bayesian filtering approach which uses temporal context in Fig. 5.7. To exhibit the method's performance based on the binomial probability density function and Bayes filter, we illustrate the precision-recall rates following the same methodology for different loop-closure threshold th values. The experiments have been performed on the same evaluation datasets using the median approach for generating tracked words, while the geometrical verification was not activated. As we gradually evaluate individual frames, the posterior probability for non-loop and all possible loop-closure events at each query location is evaluated based on the loop hypothesis in Section 5.1.2.4. Table 5.1 presents the parameters selected in order to achieve a reduced computational complexity, while still preserving increased recall rates. Concerning the overall performance, we observe that an improved score is achieved by the BoTW-LCD in every image-sequence, reaching high recall rates at 100% precision. However, it is notable that in Lip 6 outdoor, an improvement in performance permits the



**Figure 5.7.** Precision-recall curves evaluating the proposed system's performance through the utilization of the Bayes filter. Using the median approach during the generation of tracked words, precision and recall curves are illustrated for different speeded-up robust features' dimensions (64 & 128) [86] and maximum number of tracked features  $\nu$ . High recall rates are obtained for each evaluated image stream. This is owed to the exploitation of the visited locations' temporal consistency along the navigation route in combination with the binomial probabilistic scoring.

system to reach a score of about 85% when 150 tracks are employed. When the binomial score does not satisfy condition 5.5, temporal consistency prevents the system from detecting false-positive events in a different, though similar, area than the one where the previous loop-closure occurs. This way, a higher recall score is attained for both descriptor versions, allowing us to avoid the 128D method since it is computation-wise and memory-wise demanding.

## 5.2.3 System's response

The method's average timing results per image are shown in Fig. 5.8. To measure the execution time, we ran our framework on each of the evaluation datasets. Among them, the KITTI course 00 set is the longest one exhibiting a remarkable amount of loop-closure events. For this group of experiments, a total of 4551 images is processed, yielding 126.2 ms per query image on average. Table 5.3 shows an extensive timing documentation for each stage. The *feature extraction* process involves the computation of SURF key-points detection and description, while the *environment representation*, which corresponds to the visual vocabulary generation, is split into three steps: the key-points' tracking through the KLT method, the guided feature selection, and the tracked words' merging. The *decision making* is split into two steps: the probabilistic navigation which includes the exhaustive database search and the binomial probabilistic score computations, and the loop-closure detection step including the time required for the verification step through the calculation of the corresponding fundamental matrices, and the words' update due to the vocabulary management.

The results in Table 5.3 show that we can reliably detect loops in datasets that expand for 11 km while maintaining low execution times. We observe that all the involved steps are notably fast, considering the fact that we utilize a floating-point descriptor through

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**Figure 5.8.** *Execution time per image of the KITTI courses* [250] 00, 05, *and Lip 6 outdoor* [140] *for each of the main processing stages of the proposed algorithm.* 

the SURF algorithm. BoTW-LCD is able to rapidly process images using a reduced set of visual words due to its innovative visual word management process. In contrast to the *binomial scoring*, the *database search* stage exhibits the highest execution time, due to the lack of an indexing scheme, followed by the *feature* extraction stage, which is known as the bottleneck point for many loop-closure approaches. The execution time for

		Ave	rage Tim	e (ms)
		KITT 00	l course 05	Lip 6 outdoor
Feature extraction	Key-point detection Key-point description	41.4 21.0	45.5 23.0	7.6 7.4
Environment representation	Key-point tracking Guided feature selection Merging words	8.9 2.0 2.9	6.4 2.0 2.6	5.6 1.1 1.5
Decision making	Database search Binomial scoring Geometrical verification Vocabulary management	46.4 0.8 1.3 1.5	23.4 0.8 1.0 0.6	9.6 1.0 2.7 2.2
Whole pipeline		126.2	105.3	38.7

Table 5.3. Processing time per image (ms/query) of BoTW-LCD.

environment representation is highly depended on the number of points and the tracker's parameters (e.g., pyramid levels, neighborhood area, maximum bidirectional error), while the required time for the guided feature selection and the words' merging is low. The geometrical verification stage and the vocabulary management are also negligible. As shown in Fig. 5.8, the proposed system achieves to estimate a valid fundamental matrix very fast reaching a value of 3 computations, on average, between the query  $I_Q$  and the accepted candidates.

## 5.2.4 Comparative results

This section extensively compares BoW-LCD against its baseline approach, as well as other modern solutions. In this regard, Table 5.4 contains the final mapping size SURF (#), the maximum recall at 100% of precision R(%) and the average response time per image T(ms) obtained for the proposed approach and its baseline version for every dataset. The performance of our system is measured by using a generic loop-closure threshold of  $th = 2^{-9}$ , which was obtained by the precision-recall curves in Fig. 5.7. This value is selected since it allows the system to achieve high recall rates in every evaluation dataset. During this experiment, our geometrical verification and vocabulary management modules are active, while the parameters remain constant so as to evaluate the adaptability of the approach. As can be observed, the impact in terms of recall is minimum and, in general, quite similar. However, BoTW-LCD is able to process an image in lessen time using a reduced set of visual words. We argue that this fact is mainly

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	Basel	ine versi	on	Bol	W-LCE	)
Dataset	SURF(#)	R(%)	T(ms)	SURF(#)	R(%)	T(ms)
KITTI course 00	51K	97.5	173.5	34K	97.7	126.2
KITTI course 02	52K	80.0	190.2	37K	81.5	133.0
KITTI course 05	29K	92.6	130.1	20K	94.0	105.3
KITTI course 06	12K	98.1	98.7	8K	98.1	90.1
Lip 6 outdoor	7K	50.0	37.1	5K	78.0	38.7
EuRoC MH 05	20K	83.7	90.8	13K	85.0	82.6
Malaga parking 6L	41K	85.0	171.8	28K	85.2	146.7
New College	18K	83.0	82.1	10K	87.0	67.5
City Centre	3K	20.0	65.0	2K	36.0	68.4

**Table 5.4.** In depth comparison with the baseline version of the proposed method.

Table 5.5. In depth comparison with the work of Gehrig et al.

	Geł	nrig <i>et al</i>	!.	Bol	W-LCE	)
Dataset	SURF(#)	R(%)	T(ms)	SURF(#)	R(%)	T(ms)
KITTI course 00	681K	92.8	920.3	34K	97.7	126.2
KITTI course 02	699K	80.2	990.7	37K	81.5	133.0
KITTI course 05	414K	86.0	572.8	20K	94.0	105.3
KITTI course 06	165K	98.5	185.9	8K	98.1	90.1
Lip 6 Outdoor	159K	85.5	232.9	5K	78.0	38.7
EuRoC MH 05	340K	53.8	310.4	13K	85.0	82.6
Malaga parking 6L	520K	64.0	770.0	28K	85.2	146.7
New College	394K	84.7	672.6	10K	87.0	67.5
City Centre	183K	74.0	232.7	2K	36.0	68.4

due to the new visual word managing process. Note that a comparison with off-line BoW schemes regarding their respective complexities is not presented since a direct analogy with methods based on a pre-trained vocabulary would not be meaningful. Following the results presented in Table 5.4, Fig. 5.9 illustrates the detections provided by BoTW-LCD at 100% precision for each image-sequence. The top path of each dataset presents the corresponding ground truth, that is, the trajectory which should be recognized in case the framework detects every loop-closure. When a loop is detected, the image triggering this event is labeled by a blue cycle. Note that in most cases, the loops are successfully detected, especially in the courses of the KITTI dataset.

Subsequently, aiming to offer a more thorough view about the impact of our mapping technique, we compare the proposed pipeline against the SURF-based work of Gehrig *et al.* in Table 5.5. This version utilizes the same amount of SURF elements as the Tracked

	iB	oW-LCI	)	Bol	W-LCE	)
Dataset	ORB(#)	R(%)	T(ms)	SURF(#)	R(%)	T(ms)
KITTI course 00	958K	76.5	400.2	34K	97.7	126.2
KITTI course 02	950K	72.2	422.3	37K	81.5	133.0
KITTI course 05	556K	53.0	366.5	20K	94.0	105.3
KITTI course 06	212K	95.5	385.1	8K	98.1	90.1
Lip 6 outdoor	121K	85.2	228.0	5K	78.0	38.7
EuRoC MH 05	443K	25.6	350.4	13K	85.0	82.6
Malaga parking 6L	806K	57.4	440.8	28K	85.2	146.7
New College	254K	73.1	383.7	10K	87.0	67.5
City Centre	67K	88.2	336.2	2K	36.0	68.4

**Table 5.6.** In depth comparison with the framework of iBoW-LCD.

Points  $\nu$  used by the proposed method to describe the incoming frame. Furthermore, a 40 sec temporal window is included for rejecting early visited locations similar to the one used in Chapter 3. Accordingly, for searching the database and aggregating votes, k = 1 nearest-neighbor is selected, while the parametrization of the geometrical check between the chosen pair is also based on the proposed work. The best-performing loop-closure threshold for each assessed case is evaluated according to the literature and the selected parameters remained constant over all datasets. Next, in Table 5.6 we compare the proposed pipeline with the iBoW-LCD framework, which is based on binary codewords for generating the vocabulary. Notice the high reduction of the final mapping size (SURF against ORB) and the timings offered by the proposed approach in comparison to the other methods. Nevertheless, as shown in both Tables 5.5 and 5.6, building a map through tracked words does not always imply higher recall values. However, it consistently reduces the computational times and the size of the final map. It is worth to mention that both in Lip 6 outdoor and City Centre, which are two challenging image-sequences (e.g., due to the cameras' orientation), the other approaches perform better since are tend to work as image-retrieval methods having a distinct representation for each incoming frame. Moreover, with the aim to enrich the comparative analysis, Table 5.7a presents the memory consumption in each trajectory mapping for some of the most acknowledged methods that aim for a real-time and lightweight implementation. As shown, BoTW-LCD achieves the lowest footprint in every dataset. Note that the low memory usage of the iBoW-LCD vocabulary is mainly due to its binary form. Similarly, PREVIeW, which uses a binary dictionary of 1M visual words, utilizes only 30.5 Mb of memory.

Furthermore, in Table 5.7b our approach is compared against the most representative works in visual place recognition which are independent from any training stage, namely IBuILD, Kazmi and Mertsching, FILD, as well as our previously presented approaches.



**Figure 5.9.** Loop-closures generated from the proposed pipeline for every evaluated dataset using the parameters defined in Table 5.1. In each trajectory, red cycles indicate ground truth information, while the blue ones illustrate the system's detections. The top row presents the KITTI courses [250] 00, 02, 05 and 06, whilst EuRoC MH 05 [251], Malaga 6L [252], New College [254], and City Centre [129] are depicted in the bottom row. As can be seen in most of the cases, BoTW-LCD achieves to recognize locations when the robot traverses a route which presents similar visual content. This is especially highlighted in the KITTI datasets, where the frames are captured from a forward facing camera, in contract to City Centre's lateral sensor orientation.

260	•								
47.1	40.0	42.0	I	40.9	I	38.2	.6 61.1	LAM 77	Tracking-DOSeqS
16.3	88.0	87.9	69.2	12.0	86.0	94.2	6.1 76.0	E6	iGNGmap-LCD
66.4	76.7	56.0	Ι	0.3	93.3	85.1	.2 65.1	91	FILD
75.5	51.0	50.9	26.8	84.9	97.3	. 81.4	).3 79.4	thing 90	Kazmi and Mertsc
38.9	N/A	78.1	N/A	25.6	N/A	N/A	2.0 N/A	92	IBuILD
									Online approaches
71.1	80.8	33.9	23.1	58.3	80.1	97.3	5.5 72.0	96	PREVIeW
30.6	47.5	74.7	N/A	N/A	89.7	51.9	2.4 68.2	72	DBoW2
40.1	52.6	21.8	N/A	N/A	64.5	48.5	.2 44.3	61	FAB-MAP2.0
								SS	Off-line approache
City Centre		parking 6L	MH 05	outdoor	90	05	0 02	0	
Oxford	New College	Malaga	EuRoC	Lip 6	Û	T course	KITT		
ınce per evaluateo	maximum performa	d values indicate	vethods. Bol	recognition n	sed place 1	arance-ba	помп арре	h other well-k	ormance comparison wit
0.5	2.4	6.8	3.1	1.2	1.9	4.8	9.0	8.3	BoTW-LCD
30.5	30.5	30.5	30.5	30.5	30.5	30.5	30.5	30.5	PREVIeW
2.8	7.7	24.5	13.5	3.6	6.4	16.9	28.9	29.2	iBoW-LCD
44.6	96.1	126.9	83.0	38.8	40.2	101.0	170.6	166.2	Gehrig et al.
0.6	8.0	7.5	4.5	2.0	2.5	6.1	11.2	11.0	iGNGmap-LCD
0.7	4.3	10.0	4.8	1.7	2.9	7.0	12.6	12.4	Baseline version
S(Mb)	S(Mb)	S(Mb)	S(Mb)	S(Mb)	S(Mb)	S(Mb)	(Mb) S	S(Mb) S	Method
City Centre	New College	Malaga parking 6L	EuRoC MH 05	Lip 6 outdoor	60	ourse 05	KITTI cc 02	00	

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**Figure 5.10.** Example images which are correctly identified by the proposed framework as loop-closure detections. The query frame  $I_Q$  is the image recorded by the robot at time t, whereas the matched frame  $I_M$  corresponds to the chosen location. From left to right: KITTI course 02 [250], Lip 6 outdoor [140], EuRoC MH 05 [251] and Malaga 6L [252].

In addition, for the sake of completeness, comparisons are also given against pipelines based on a previously trained vocabulary with the aim to help the reader to identify the place of the proposed pipeline within the state-of-the-art. More specifically, FAB-MAP 2.0, DBoW2, and PREVIeW are chosen. By examining Table 5.4, one can observe the significantly high score achieved by our method in the Lip 6 outdoor dataset. We succeed to excel among the baseline version, highlighting the importance of the temporal information across the trajectory. Nonetheless, the proposed framework performs unfavorably against iBoW-LCD and Kazmi and Mertsching as shown in Table 5.7b. This is due to the geometrical verification parameterization which strengthens our pipeline's accuracy in the cost of missing some of its potential performance, but also due to the fact that the system encounters a route of low textured images (avg. features/image), impairing our feature tracking procedure. This characteristic drops the recall rate when the geometrical verification step is applied since some of the true-positive detections are discarded as they fail to produce a valid fundamental matrix with enough inliers. However, we also need to stress out that our method's performance is able to reach even higher recall rate than the ones in Table 5.7b (as illustrated in the precision-recall curves in Fig. 5.7), yet our aim is to present a system with a homogeneous set of parameters that can be used in any environment. Thus, the adopted probability threshold and the RANSAC inliers are selected and fixed so as to maintain high scores for 100% precision across every evaluated dataset. In the KITTI course 00 set, the proposed framework exhibits over 97% of recall results, while compared to the rest of the sequences of the KITTI suite, it outperforms most of the competitors. Moreover, in the testing cases, our framework demonstrates a significant improvement to the obtained recall. In EuRoC MH 05, Malaga 6L, and New College a score of 85% is reached on each dataset, while holding a high precision rate. It is noteworthy that in EuRoC MH 05, where the system confronts an environment of low illumination, the binary description methods, adopted in PREVIeW and iBoW-LCD, are unable to perform competitively compared to the

floating point features. Similarly, global descriptors utilized in FILD and Tracking-DOSeqSLAM present low recall scores. This results in a high divergence in terms of recall scores against the proposed pipeline. Finally, in the case of City Centre, our system fails to follow the performance of the other solutions. This fact implies that our mapping procedure performs better when the camera's orientation is frontal, allowing the formulation of prolonged word tracks. In Fig. 5.10, some accurately detected locations are shown.
# 6

Open challenges and conclusion

### 6.1 New challenges: Long-term operation

As presented in this thesis, the main objective of any loop-closure detection pipeline is to facilitate robust navigation for an extended period and under a broad range of viewing situations. Moreover, within long-term and large-scale SLAM autonomy, previously visited locations in dynamic environments need to be recognized under different day periods and scenes with changeable illumination and seasonal conditions [80, 98, 233]. As a result, it becomes increasingly difficult to match two images, mainly since such variations affect the image appearance significantly (Fig. 6.1). Furthermore, extreme viewpoint variations lead to severe perspective distortions and low overlap between the query and the database frames.

Another critical aspect in long-term applications is the storage requirements needed to map the whole environment effectively since the majority of approaches scale linearly to the map's size (at best). Consequently, there has been much interest in developing compact appearance representations so as to demonstrate sub-linear scaling in computational complexity and memory demands. These techniques typically trade off memory usage with detection performance, or vice versa, for achieving computational efficiency as shown by the proposed method in Chapter 4.

### 6.1.1 Dynamic environments

During navigation in a changing environment, the topological information about the robot's relative movement becomes more important as noise from the sensory inputs is accumulated to an overwhelming degree [269, 270]. Early works exploited the topological information through sequence matching [110, 232], or network flows [215]. However, their output is still dependent on their visual representations' quality since the utilized hand-crafted features are not distinctive enough so as to form a genuinely

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(**b**) Night time.

**Figure 6.1.** Example images from the Oxford RobotCar dataset [268] for both (a) day-time and (b) night-time conditions. From left to right: Autumn, winter, spring, and summer. Within long-term and large-scale SLAM autonomy, detections need to be successful despite significant variations in the images' context, such as different illumination conditions, e.g., day and night, or year seasons.

reusable map [271]. On the contrary, representations provided by deep learning techniques show promising results on applications with challenging conditional and viewpoint changes [171, 189, 199, 272]. More specifically, deep learning approaches can be utilized to either construct description features with increased robustness to perceptual changes [273, 274] or to predict and negate the effect of appearance variations [156, 215, 275, 276]. It is also worth noting that for both the above cases, networks that are previously trained for semantic place classification [277] outperform the ones designed for object recognition when applied for place recognition under severe appearance changes [183].

### 6.1.1.1 Robust visual representations

Such techniques are mainly based on a single global descriptor. A series of works have been developed based on SeqSLAM following the same architecture [215, 278], that does not adopt learned features as their representation mechanism. Among the different variants, the gist-based pipeline [95] compares the learning-based ones [279, 280]. Another approach by Maddern and Vidas [281] utilize two different visual vocabularies by combining SURF-based visual words from the visible and infrared spectrum. Their results showed that hand-crafted features could not achieve high performances in complicated dynamic environments; however, the infrared data are more robust to extreme variations. On the other hand, techniques which are built upon learned features typically demand an extensive labeled training set [174, 176, 202, 282]; however, there exist some exceptions that do not require environment-specific learning samples [222].

### 6.1.1.2 Learning and predicting the appearance changes

These methods require labeled training data, such as matched frames from the exact locations under different conditions [275, 276]. An average description of images was learned, viz., a vector of weighted SIFT features in [283]. Their system was trained in summer and winter environments looking for valuable features capable of recognizing places under seasonal changes. The features that co-occurred in each image taken at different times of the day are combined into a unique representation with identifiable points from any point of view, irrespective of illumination conditions [221]. Similarly, matching observations with significant appearance changes is achieved using a support-vector machine (SVM) classifier to learn patch-based distinctive visual elements [274, 284]. This approach yields excellent performance but has the highly restrictive requirement that training must occur in the testing environment under all possible environmental conditions. The authors in [278] learned how the appearance of a location changes gradually, while Neubert et al. [275] constructed a map based on visual words originated from two different conditions. A super-pixel dictionary of hand-crafted features specific for each season is built in [285] by exploiting the seasonal appearance changes' repeatability. Using change-removal, which is similar to dimensionality reduction, showed that by excluding the less discriminative elements of a descriptor, an enhanced performance could be achieved [19,286]. Another way to tackle such challenges is based on illumination-invariant image conversions [156,287,288], and shadow removal [289, 290]. The former transfers images into an illumination invariant representation; however, it is shown that the hypothesis of a black-body illumination is violated, yielding poor results [156]. Shadow removal techniques were used to obtain invariant illumination images independent of the sun's positions.

Lategahn *et al.* [291] are the first to study how the CNNs can be used for learning illumination invariant descriptors automatically. Exploiting the visual features extracted from ConvNet [165], a graph-based visual loop detection system is proposed in [241], while a BoW for landmark selection is learned in [292]. Modifying images to emulate similar query and reference conditions is another way to avoid addressing the descriptors for condition invariance. The authors in [293] learn an invertible generator, which transforms the images to opposing conditions, e.g., summer to winter. Their network is trained to output synthetic images optimized for feature matching. Milford *et al.* [294] proposed a model to estimate the corresponding depth images that are potentially condition-invariant.

### 6.1.2 Viewpoint variations

Viewpoint changes are as critical as the appearance variations since visual data of the same location may seem much different when captured from other views [295]. The variation in viewpoint could be a minor lateral change or a much-complicated one, such

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as bi-directional or angular changes coupled with alterations in the zoom, base point, and focus throughout repeated traverses. Most pipelines are focused on unidirectional loop-closure detections. However, in some cases, they are not sufficient for identifying previously visited areas due to bidirectional loop-closures, i.e., when a robot traverses a location from the opposite direction. This type of problem is crucial because solely unidirectional detections do not provide robustness in long-term navigation. Pipelines, such as ABLE-P [296], identify bidirectional loops by incorporating panoramic imagery. A correspondence function to model the bidirectional transformation, estimated by a support-vector regression technique, is designed by the authors in [297] to reject mismatches. To achieve greater viewpoint robustness, semantically meaningful mapping techniques are adopted to detect and correct large loops [178, 202, 298]. Using visual semantics, extracted via RefineNet [299], multi-frame LoST-X [206] accomplished place recognition over opposing viewpoints. Similarly, appearance invariant descriptors (e.g., objects detected with CNN [165, 177, 188, 199, 205] or hand-crafted rules [171]) show that semantic information can provide a higher degree of invariability. Likewise, co-visibility graphs, generated from learned features, could boost the invariance to viewpoint changes [95, 168]. Finally, another research trend which has recently appeared tries to address the significant changes in viewpoint when images are captured from ground to aerial platforms using learning techniques. In general, the world is observed from much the same viewpoints over repeated visits in cases of ground robots; yet, other systems, such as a small UAV, experience considerably different viewpoints which demand recognition of similar images obtained from very wide baselines [20, 200].

### 6.1.3 Map management and storage requirements

As mentioned during this dissertation, scalability in terms of storage requirements is one of the main issues every autonomous system needs to address within the longterm mapping. In dense maps, in which every image is considered as a node in the topological graph, the loop-closure database increases linearly with the number of images. Consequently, for long-term operations that imply an extensive collection of images, this task becomes demanding not only to the computational requirements but also the system's performance. This problem is tackled through map management techniques: 1) using sparse topological maps, representing the environment with fewer nodes which correspond to visually distinct and strategically interesting locations (keyframes), 2) representing each node in a sparse map by a group of sequential and visually similar images, and 3) limiting the map's size by memory scale discretization.

### 6.1.3.1 Key-frame selection

This pipeline are based on the detection of scenes' visual changes by utilizing methods developed for video compression [300]. However, the main difference between key-



Figure 6.2. Illustration of a map represented by key-frames.

frame mapping and video abstraction is that the former requires the query image's localization with a previously visited location. This is vital for the system's performance since a single area might be recorded by two different locations [47]. Both locations may reach half of the probability mass, and therefore, neither attracts the threshold for successful data matching. Traditionally, the metric for deciding when to create graph nodes is typically an arbitrary one. Representative examples include the distance and angle between observations in space [179, 301], specific time intervals [302, 303], and a minimum number of tracked landmarks [228, 304–306]. An illustration of a map represented by key-frames is shown in 6.2.

# 6.1.3.2 Representing each node in a sparse map by a group of sequential and visually similar images

This category of techniques, wherein the presented method in Chapter 3 belongs, is a well-established process that offers computational efficiency while also retaining high spatial accuracy. Techniques that fall into this category map the environment hierarchically [307–311] and tackle scalability through the formulation of image groups, thus reducing the database's search space [149, 312–314]. Hierarchies have also been found in the mammalian brain, both in the structure of grid cells in the Hippocampus [315] and the visual cortex's pathway [316]. To limit the number of database instances, clustering [211, 220, 317, 318] or pruning [319] methods can be used and restrain map's parts which exceed a threshold based on the spatial density. Hierarchical approaches follow a two-stage process: firstly, less-intensive nodes are selected, and, next, the most similar view in the chosen node is searched [208, 320], as presented to our method. For instance, in [102], a hierarchical approach based on color histograms allows the identification of a matching image subset, and subsequently, SIFT features are utilized for acquiring a more precise loop-closing frame within this subset. Similarly, nodes are formulated by grouping images with common visual properties, represented by an average global descriptor and a set of binary features through on-line BoW [149].

### 6.1.3.3 Short-memory scale discretization

Limiting the map's size, so that loop-closure detection pipelines keep a processing complexity under a fixed time constrain and satisfy the online requirements in long-term

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operations. Mobile robots have limited computational resources; therefore, the map must be somewhat forgotten. Nevertheless, this needs ignoring of locations, a technique that leads to mismatches in future missions. On the contrary, maintaining in random access memory the entire robot's visual history is also sub-optimal and, in some cases, not possible. Dayoub and Duckett [321] map the environment by using reference views, i.e., many known points. Two specific memory time scales are included in every view: a short-term and a long-term. Frequently observed features belonging in the short-term memory advance to the long-term memory, while the ones not frequently observed are forgotten. Following a similar process, real-time appearance-based mapping (RTAB-MAP) [145] use short-term and long-term memory, while the authors in [219] assumed a system that includes working memory and an indexing scheme built upon the coreset streaming tree [322]. The method in [183] encodes regularly repeating visual patterns in the environment.

### 6.1.4 Computational Complexity

In contrast to computer vision benchmarks, wherein the recognition accuracy constitutes the most crucial metric regarding performance measurement, robotics depends on flexible algorithms that can perform robustly under certain real-time restrictions. As most appearance-based loop-closure detection solutions share the concepts of feature extraction, memorization, and matching, storage and computational costs, which increase drastically with the environment size, constitute such systems' weaknesses [57,110,212]. Given the map management strategies mentioned in Section 6.1.3 for large-scale operations, the main constraints to overcome are the visual information storage and the complexity of similarity computations. If one is to take the naive approach of using an exhaustive nearest neighbor search and directly comparing all the visual features of the current robot view with all of those observed so far, the complexity of the approach would become impractical as presented in this this thesis through the implementation of Gehrig et al.. This is due to the comparisons performed for images that do not exhibit the same context. This gets regressively less feasible as the run-time is analogous to the size of previously seen locations. Therefore, compact representations [210, 323] and hashing methods [211] have been explored, apart from data structure-based retrieval techniques, e.g., trees [263, 265, 324] and graphs [149, 325, 326]

As the computational time of feature matching varies according to the visual feature's length, encoding the data into compact representations reduces the storage cost and simultaneously accelerates the similarity computations [327]. Using the most discriminant information in high-dimensional data, Liu and Zhang [94] perform loopclosure detection based on a PCA technique. They achieve to reduce the descriptor space from 960 dimensions to the 60 most discriminative ones while preserving high accuracy. Another line of frameworks adopt binary descriptors to improve computational efficiency [131] or encoded the high-dimensional vectors into compact codes,

### 6.1 New challenges: Long-term operation 87

such as hashing [328]. Typical matching techniques include hashing, e.g., locality sensitive hashing (LSH) [329] or semantic hashing [330]. Although LSH does not need any previously processing or off-line procedures [211, 331, 332], its discrete feature representations suffer from data collisions when their size is large [333]. Nevertheless, with a view to avoid data collision and achieve unique mapping, visual information is embedded in continuous instead of discrete lower-dimensional spaces [334]. Avoiding dimensionality reduction or binary feature vectors, many pipelines were based on GPU-enabled techniques to close loops in real-time with high efficiency [224, 253].



**Figure 6.3.** The structure of a hierarchical visual vocabulary tree used in off-line visual bag of words pipelines [335]. Instead of searching the whole vocabulary to identify the most similar visual word, incoming local feature descriptors traverse the tree significantly reducing the required computations.

Nister and Stewenius improve the indexing scheme of the off-line visual BoW model through a vocabulary tree generated via hierarchical k-means clustering [335], as depicted in Fig. 6.3. This way, faster indexing is achieved, while high performances are preserved [121,210,305]. Other works are based on spatial data structures [336] and agglomerative clustering [317]. The inverted multi-index [264] and different tree structures, e.g., k-d trees [262], randomized k-d forests [337], Chow Liu trees [338], decision trees [339]. More specifically, data structures, such as pyramid matching [340, 341], were used to detect loop-closures when high dimensional image descriptors were adopted [342, 343]. Furthermore, approaches based on the randomized k-d forest [70, 84, 106, 124, 263] are shown to perform better than a single k-d tree [66] or a Chow Liu tree [129]. It is worth noting that k-d trees are unsuitable when incremental visual vocabularies are selected since they become unbalanced if new descriptors are added after their construction [124]. Yet, this issue is avoided in off-line BoW models since their vocabulary is built a priori, and there is no other time-consuming module regardless of how large the map becomes.

Finally, although impressive outcomes have been achieved by utilizing deep learning,

# **88** 6 Open challenges and conclusion

such approaches are yet computationally costly [202]. Increasing the network's size results in more computations and storage consumption at the time of training and testing. However, efforts to reduce their complexity do exist [159]. To bridge the research gap between learned features and their complexity, a CNN architecture employing a small number of layers previously trained on the scene-centric [344] database reduced the computational and memory costs [190]. Similarly, the authors in [272] compress the learned features' unnecessary data into a tractable number of bits for robust and efficient place recognition.

### 6.2 Conclusion

Closing this dissertation, the author wish to note that much effort has been put to produce efficient and robust methods to obtain accurate and consistent maps since the first loopclosure detection system. In this thesis, we addressed this problem with three modern solutions, which allowed the trajectory to be mapped through different methods, i.e., hierarchical, sequence- and single-based, while none of the aforementioned techniques requires a training step. To this end, concerning the ones adopting the BoW model, the visual vocabulary is fully adapted to each individual environment. As shown through our extensive experimentation on an entry-level system with an Intel Core i7-6700HQ (2.6 GHz) processor and 8 GB RAM, high recall scores are achieved for perfect precision in all assessed datasets outperforming existing state-of-the-art methods while maintaining low execution times and real-time behavior on routes as large as 13 km. Moreover, we showed that 64D SURF descriptors are able to outperform the 128D ones achieving higher accuracy and lower memory consumption, while using a vocabulary management technique when a previously visited location is detected improves the computational time and the system's accuracy. It is noteworthy that less than 37K visual words are totally produced for a route of 13Km using 8.3 Mb of memory, which is significantly shorter than any other cited work. Employing a probabilistic voting scheme when searching for previously visited locations into the map, a high degree of confidence about the images' similarity is achieved. Furthermore, a Bayes filter exploits the temporal aspect of the data gathered along the traversed path and finally, a geometrical verification step is performed to reject possible remaining outliers. In our future work, we intend to enhance the proposed pipelines with more sophisticated verification and indexing techniques to further increase the recall scores and reduced run-times.

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# **Thesis Publications**

The core of this Ph.D. dissertation is supported by the following publications.

#### Journals:

- 1. Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "Probabilistic appearance-based place recognition through bag of tracked words," *IEEE robotics and automation letters*, vol. 4, no. 2, pp. 1737–1744, 2019. *Presented at the IEEE international conference on robotics and automation (ICRA)*, May, 2019, Montreal (Canada).
- Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "Tracking-DOSeqSLAM: A dynamic sequence-based visual place recognition paradigm," *IET computer vision (Wiley)*, vol. 15, no. 4, pp. 258-273, 2021.
- 3. Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "Modest-vocabulary loop-closure detection with incremental bag of tracked words," *Robotics and autonomous systems (Elsevier)*, vol. 141, p. 103782, 2021.
- 4. **Konstantinos A. Tsintotas**, Loukas Bampis, and Antonios Gasteratos, "The revisiting problem in simultaneous localization and mapping: A survey on visual loop closure detection," *Under revision with the IEEE transactions on intelligent transportation systems*, 2021.

### **Conferences:**

- 1. Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "Assigning visual words to places for loop closure detection," *in proceedings of the IEEE international conference on robotics and automation (ICRA)*, Brisbane, Australia, May 2018, pp. 5979–5985.
- 2. Konstantinos A. Tsintotas, Loukas Bampis, Stelios Rallis, and Antonios Gasteratos, "SeqSLAM with bag of visual words for appearance based loop closure detection," *in proceedings of the international conference on robotics in Alpe-Adria Danube region (RAAD)*, Patras, Greece, June 2018, pp. 580–587.
- 3. Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "DOSeqSLAM: dynamic on-line sequence based loop closure detection algorithm for SLAM," *in proceedings of the IEEE international conference on imaging systems and techniques (IST)*, Krakow, Poland, October 2018, pp. 1–6.
- Konstantinos A. Tsintotas, Loukas Bampis, and Antonios Gasteratos, "Appearancebased loop closure detection with scale-restrictive visual features," *in proceedings* of the international conference on computer vision systems (ICVS), Thessaloniki, Greece, September 2019, pp. 75–87.
- 5. Konstantinos A. Tsintotas, Loukas Bampis, Shan An, George F. Fragulis, Spyridon G. Mouroutsos, and Antonios Gasteratos, "Sequence-based mapping for probabilistic visual loop closure detection," *Accepted for publication in proceedings of the IEEE international conference on imaging systems and techniques (IST)*, New York, USA, August 2021.

#### **Book chapters:**

1. **Konstantinos A. Tsintotas**, Loukas Bampis, and Antonios Gasteratos, "Visual place recognition for simultaneous localization and mapping," *submitted to* George Giakos (Editor), *Multifaceted imaging principles and augmented intelligence*. *Springer*.

# Other Publications

The following publications arose during the author's PhD studies and contributed to the conception of the presented approaches.

#### Journals:

1. Shan An, Haogang Zhu, Dong Wei, **Konstantinos A. Tsintotas**, and Antonios Gasteratos, "Fast and incremental loop closure detection with deep features and proximity graphs,", *Submitted to Journal of field robotics (Wiley)*, 2021.

### **Conferences:**

- Shan An, Fangru Zhou, Mei Yang, Haogang Zhu, Changhong Fu, and Konstantinos A. Tsintotas, "Real-time monocular pedestrian depth estimation and segmentation on embedded systems," *Accepted for publication in proceedings of the IEEE/RSJ international conference on intelligent robots and systems (IROS)*, Prague, Czech Republic, September 2021.
- 2. Konstantinos A. Tsintotas, Loukas Bampis, Anastasios Taitzoglou, Ioannis Kansizoglou, and Antonios Gasteratos, "Safe UAV landing: A low-complexity pipeline for surface conditions recognition." *Accepted for publication in proceedings of the IEEE international conference on imaging systems and techniques (IST)*, New York, USA, August 2021. Awarded as best student paper.
- Shan An, Xiajie Zhang, Dong Wei, Haogang Zhu, Jianyu Yang, and Konstantinos A. Tsintotas, "FastHand: Fast hand pose estimation from a monocular camera," Submitted to the IEEE international conference on embedded software and systems (ICESS), 2021.